Effective dipole-dipole interactions in multilayered dipolar Bose-Einstein condensates

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We propose a two-dimensional model for a multilayer stack of dipolar Bose-Einstein condensates formed by a strong optical lattice. We derive effective intra- and interlayer dipole-dipole interaction potentials and provide simple analytical approximations for a given number of lattice sites at arbitrary polarization. We find that the interlayer dipole-dipole interaction changes the transverse aspect ratio of the ground state in the central layers depending on its polarization and the number of lattice sites. The changing aspect ratio should be observable in time-of-flight images. Furthermore, we show that the interlayer dipole-dipole interaction reduces the excitation energy of local perturbations affecting the development of a roton minimum.

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I. INTRODUCTION

Layered structures of magnetic materials play a crucial role both in today’s technology and in fundamental physical theories. Technological examples are aplenty in the magneto-electronic industries, e.g., hard disks or magnetic sensors. One theoretical goal of studying multilayers is to illuminate the elusive theory of high-Tc superconductivity, where the layered structure appears to play a crucial role [1]. For a realistic theory of atomic or molecular multilayers it is, however, vital to include the dipole-dipole interaction (DDI) between the underlying particles.

The study of magnetic single- and multilayer films has enjoyed a long history in condensed-matter physics (for a recent review, see Ref. [2] and references therein). There, an alternating structure of ferromagnetic and nonmagnetic layers is deposited on a substrate, e.g., by atomic beam epitaxy. However, structural instabilities induced, e.g., by temperature changes and film thickness variation often complicate experiments in thin films.

Quantum-degenerate dipolar gases have received much attention recently from both theoretical and experimental studies (for recent reviews, see Refs. [3,4]). Their DDI crucially affects the ground-state properties [5,6], stability [7–9], and dynamics of the gas [10–12]. Furthermore, they offer a route for studying exciting many-body quantum effects, such as a superfluid-to-crystal quantum phase transition [13], supersolids [14], or even topological order [15]. Recent advances in experimental techniques have paved the way for a Bose-Einstein condensate (BEC) of $^{52}$Cr with a magnetic dipole moment $6\mu_B$ (Bohr magneton $\mu_B$), much larger than conventional alkali BECs [16–18]. Promising candidates for future dipolar BEC experiments are Er and Dy with even larger magnetic moments of 7$\mu_B$ and 10$\mu_B$, respectively [19–21]. Furthermore, DDI-induced decoherence and spin textures have been observed in alkali-metal condensates [22,23]. Dipolar effects also play a crucial role in experiments with Rydberg atoms [24] and heteronuclear molecules [25,26]. Bosonic heteronuclear molecules may provide a basis for future experiments on BECs with dipole moments much larger than in atomic BECs [27].

Sufficiently strong DDIs can lead to the collapse of a dipolar BEC. A three-dimensional (3D) homogeneous BEC exhibits imaginary Bogoliubov excitations at low momenta, which leads to a collapse [7,28]. In a quasi-two-dimensional (quasi-2D) dipolar BEC the polarization direction relative to the BEC plane determines whether the repulsive or attractive parts of the DDI dominate. For perpendicular polarization the repulsive part of the DDI stabilizes a pancake-shaped dipolar BEC [18,29] but may lead to a roton instability at high densities [8,9]. In-plane polarization leads to imaginary Bogoliubov excitations at low momenta, followed by the formation of bright solitons or a collapse [30]. However, instabilities between these two limiting polarization directions have not been studied.

Adding equidistant layers of quasi-2D dipolar BECs leads to intriguing effects, such as interlayer bound states [31–37] and biconcave ground states [38,39]. Wang and Demler [40] have found that additional layers with perpendicular polarization enhance the roton instability.

In this article, we investigate the effect of varying polarization direction and interlayer DDI on the ground state and excitation spectrum of the BEC. We present an effective 2D model for an arbitrarily polarized dipolar BEC in a strong one-dimensional (1D) optical lattice. Previously, such dimension-reduced models have been derived for BECs without DDI [41–47] and with dipolar interactions in a single layer [48,49]. Assuming Gaussian layer width, we also derive closed forms of the effective 2D intra- and interlayer DDI potentials governing the layers of quasi-2D BECs. These potentials allow for useful analytical approximations, which had been used in a previous work on multilayer dipolar BECs with perpendicular polarization [33,50–53]. We establish that the 2D model is valid by comparing its ground states to ground states of the 3D Gross-Pitaevskii equation (GPE) for weakly interacting BECs at zero temperature [54]. We suggest that the interlayer DDI is observable in the transverse aspect ratio of the central layers after time-of-flight expansion. Moreover, we calculate the Bogoliubov excitation energies for a transversely homogeneous BEC with contact interaction, intralayer, and interlayer DDI. We extend previous work by investigating instabilities for polarization directions other than perpendicular or in plane [38,40]. The interlayer DDI enhances the occurrence of a roton instability even in nonperpendicular configurations. We also show how this instability moves from
the 3D to the quasi-2D regime with varying polarization direction. In addition, our 2D model results in faster numerical computations compared to a model based on the full 3D GPE.

In Sec. II we present our 2D model and effective intra- and interlayer potentials for a dipolar BEC trapped in a strong 1D optical lattice. We also present a single-mode approximation valid for the central layers of the BEC. In Sec. III we compare the ground states of our model and its single-mode approximation to ground states of the 3D GPE. We find good agreement between these ground states, which indicates the validity of our model. In Sec. IV we compute numerically the aspect ratio of the BEC in the central layer as a function of the number of lattice sites and polarization direction. We find a marked change in the aspect ratio owing to the interlayer DDI, which should be observable in experiments. In Sec. V we derive the Bogoliubov dispersion for a transverse homogeneous, multilayered dipolar BEC. We conclude in Sec. VI. In the Appendix we give a detailed derivation of the 2D model presented in Sec. II.

II. EFFECTIVE 2D MODEL

We consider a dilute dipolar BEC at zero temperature trapped in a transverse harmonic potential \( V_{\text{ho}}(x,y) = \frac{m\omega^2}{2}(x^2 + y^2) \) and a longitudinal optical lattice \( V_o(z) = V_0 \sin^2(k_z z) \). Here, \( m \) is the particle mass, \( \omega \) is the trap frequency, \( V_0 \) is the lattice height, and \( k_z \) is the wave number of the lattice laser. We focus on atomic BECs with a magnetic dipole moment, but it is straightforward to extend the analysis to degenerate bosonic gases with electric dipole moments. We assume that an external field polarizes the atoms along a normalized axis \( d = (d_x, d_y, d_z) = (\cos \phi \sin \theta, \sin \phi \sin \theta, \cos \theta) \), with \( \phi \) and \( \theta \) being the azimuthal and polar angles, respectively. Then the dipole-dipole interaction (DDI) is described by

\[
U_{\text{dd}}(r) = \frac{c_{\text{dd}}}{4\pi} \frac{|r|^2 - 3(d \cdot r)^2}{|r|^5},
\]

where \( c_{\text{dd}} = \mu_0 D^2 \), with \( \mu_0 \) being the magnetic vacuum permeability and \( D \) being the dipole moment (for electric dipoles \( c_{\text{dd}} = D^2/\epsilon_0 \), where \( \epsilon_0 \) is the vacuum permittivity). We note that it is possible to modify the DDI strength \( c_{\text{dd}} \) by means of a rotating magnetic field [55].

At zero temperature, a weakly interacting BEC is described by the GPE [54]. For simplicity, we introduce dimensionless quantities by rescaling lengths with the lattice distance \( \delta = \pi/k_z \), that is, \( r \to r\delta \), energies with \( \hbar^2/m \delta^2 = 2E_r/\pi^2 \) (\( E_r \) is the recoil energy), and the wave function of the gas with the central density \( n(0), \psi \to \psi\sqrt{n(0)} \). In these units the normalization of the wave function is \( \int d^3r|\psi(r,t)|^2 = N/\sqrt{n(0)} \), with \( N \) being the total number of atoms. Away from shape resonances, the wave function \( \psi = \psi(r,t) \) of the dipolar BEC is governed by the GPE [6,56,57]

\[
i\partial_t \psi = \left[ -\frac{i}{2}\nabla^2 + V_{\text{ho}} + V_o + (g - g_d) \right]|\psi|^2 + V_{\text{dd}} \psi.
\]  

where \( g = 4\pi \alpha a_s n(0) \delta^2 \) is the dimensionless contact interaction strength, \( a_s \) being the s-wave scattering length, and \( g_d = m c_{\text{dd}} n(0) \delta^2 /2\hbar^2 \) is the dimensionless DDI strength. Furthermore, \( V_{\text{ho}}(\rho) = (m^2\omega^2\delta^2 /2\hbar^2) \rho^2 \), with \( \rho = (x,y) \) and \( \delta = \sqrt{\hbar/(m\omega)} \).

For strong optical lattices we derive an effective 2D equation for the wave function on each lattice site. This is possible because a strong optical lattice with \( V_0 \gg \hbar \omega \) causes the BEC to form layers separated by the lattice distance \( \delta \) (cf. Fig. 1) [54,58]. We assume that the axial extent \( y \) of the BEC in each layer is much larger than the s-wave scattering length. Additionally, in the quasi-2D regime \( y^2 \gg |g - g_d| \) [59]. This condition allows us to approximate the optical lattice as a train of harmonic potentials and the axial wave function as its ground state. Then the wave function separates into \( \psi(\rho,t) = e^{-i\gamma t/\gamma^2} \sum_{\ell}(\psi_\ell(\rho,t)w_\ell(z)) \) [42,49,54]. The sum extends over all lattice sites \( \ell \). Under these assumptions the axial wave function on each site \( \ell \) at position \( z_\ell \) is described by a Gaussian \( U_\ell(z) = w(z - z_\ell) = (1/\pi\gamma^2)^{1/4} e^{-|z - z_\ell|^2/2\gamma^2} \). The Gaussians do not mutually overlap \( \int dz w_j(z) w_j(z) \approx 0 \) for \( \ell \neq j \). In the quasi-2D limit \( y^2 \approx \sqrt{V_0\pi^2} \). More generally, in a homogeneous BEC it is also possible to treat the layer width \( y \) as a variational parameter that minimizes the Gross-Pitaevskii energy functional [9]. By inserting this wave function into Eq. (2) and integrating out the \( z \) direction we obtain the following equation for the radial wave function \( \psi_\ell = \psi_\ell(\rho,t) \) at site \( \ell \):

\[
i\partial_t \psi_\ell = \left[ -\frac{1}{2}\nabla^2 + V_{\text{ho}} + \left[ \tilde{g} - \tilde{g}_d(1 - 3d^2) \right] |\psi_\ell|^2 + V_{\text{dd}} \right] \psi_\ell.
\]  

Here, \( \tilde{g} = g/\sqrt{2\pi\gamma} \) and \( \tilde{g}_d = g_d/\sqrt{2\pi\gamma} \) are the effective 2D interaction strengths. In the remainder of this article we neglect strongly suppressed terms in the effective DDI potential \( V_{\text{dd}} \) (see the Appendix for details). We find the following expression for its Fourier transform \( \tilde{V}_{\text{dd}}(k) \):
\[ k = k(\cos \varphi, \sin \varphi): \]
\[
\tilde{V}_{2D}^{(k)}(k) = 3g_d \sum_j \left[ \left( -d_s \cos \varphi + d_s \sin \varphi \right)^2 - d_s^2 \right] \tilde{U}_{\text{even}}^{(k)}(k)
\]
\[ + 2id_s(d_s \cos \varphi + d_s \sin \varphi)\tilde{U}_{\text{even}}^{(k)}(k) \right| \psi_j \right|^2(k). \quad (5) \]

Here,
\[
\tilde{U}_{\text{even}}^{(k)}(k) = \frac{k - \Delta_j^2}{4x} \left[ \eta \left( \frac{\gamma^2 k + \Delta_j^2}{\sqrt{2} \gamma} \right) + \eta \left( \frac{\gamma^2 k - \Delta_j^2}{\sqrt{2} \gamma} \right) \right], \quad (6) \]
\[
\tilde{U}_{\text{odd}}^{(k)}(k) = \frac{k - \Delta_j^2}{4x} \left[ \eta \left( \frac{\gamma^2 k + \Delta_j^2}{\sqrt{2} \gamma} \right) - \eta \left( \frac{\gamma^2 k - \Delta_j^2}{\sqrt{2} \gamma} \right) \right], \quad (7) \]

where \( \Delta_j = (\ell - j) \), \( \eta(x) = \exp(x^2)\text{erfc}(x) \), and \( \text{erfc}(x) = 1 - \text{erf}(x) \) is the complementary error function.

The effective dipolar interaction \( \tilde{V}_{2D}^{(k)} \) [Eq. (5)] contains both an intralayer DDI and an interlayer DDI. The intralayer DDIs are the terms in Eq. (5) with \( \ell = j \). By setting \( \ell = j \) in Eqs. (6) and (7) we find that each layer experiences the effective DDI potential of a quasi-2D dipolar BEC \([49,60]\). The interlayer DDIs are the terms in Eq. (5) with \( \ell \neq j \). For perpendicular polarization \( (d_x = 1, d_y = d_z = 0) \) we recover the interlayer DDI potential discussed, e.g., in Ref. [33]. If the layer distance is much larger than the layer width \( (|\Delta_j| \gg \gamma) \), \( \eta(x \rightarrow +\infty) \) vanishes, and the moduli of the kernels \( |\tilde{U}_{\text{even}}^{(k)}| \) and \( |\tilde{U}_{\text{odd}}^{(k)}| \) become identical. Because of our assumption that \( \delta \gg \gamma \), this is fulfilled for the interlayer DDI between any two distinct sites. As a consequence, we split the total effective DDI potential into a sum of intralayer and interlayer terms

\[
\tilde{V}_{2D}^{(k)}(k) = 3g_d \left[ (d_x \cos \varphi + d_y \sin \varphi)^2 - d_s^2 \right] \tilde{U}_{2D}(k) |\psi_j|^2(k)
\]
\[ + 3g_d \sum_{j \neq k} \left[ |d_x \cos \varphi + d_y \sin \varphi - id_s \text{sgn}(\Delta_j)|^2 \right] \times \tilde{U}_{2D}(k) |\psi_j|^2(k), \quad (8) \]

where \( \text{sgn}(x) \) is the sign of \( x \). The kernels of this potential are 
\( \tilde{U}_{2D} = \tilde{U}_{2D}^{(0)} \) and 
\[
\tilde{U}_{2D}(k) = k \frac{e^{-\frac{\Delta_j^2}{2}}}{4x} \eta \left( \frac{\gamma^2 k - \Delta_j}{\sqrt{2} \gamma} \right). \quad (9) \]

In the limit of negligible layer width \( (\gamma \ll |\Delta_j|) \) the interlayer DDI in Eq. (9) can be approximated by
\[
\tilde{U}_{2D}(k) \approx k \frac{e^{-|\Delta_j|k}}{2x} \eta \left( \frac{\gamma^2 k - |\Delta_j|}{\sqrt{2} \gamma} \right). \quad (10) \]

This approximation becomes an identity in the limit \( \gamma \rightarrow 0 \) and nonzero \( |\Delta_j| \). The second line of Eq. (8) is the interlayer DDI potential for arbitrary polarization direction. Inserting approximation (10) into Eq. (8) for perpendicular polarization, we recover the interlayer DDI potential used in Refs. [33,35]. We expect our generalized interlayer DDI potential to be valid for bosons as well as fermions because fermions in different layers occupy different quantum states.

**B. Single-mode approximation**

If we assume that the BEC densities in each layer vary little over the central sites, we can simplify the 2D model to a single equation for the central site wave function \( \psi_0(\rho) \). This assumption is reasonable for large lattices, and we will test its validity in Sec. III. The single wave function \( \psi_0(\rho) \) approximates the wave functions in all lattice sites far from the boundaries. Consequently, we replace the effective dipolar potential \( \tilde{V}_{2D}^{(k)}(k) \) [Eq. (8)] by the site-local potential

\[
\tilde{V}_{2D}(k) = 3g_d \left[ (d_x \cos \varphi + d_y \sin \varphi)^2 - d_s^2 \right] \tilde{U}_{2D}(k)
\]
\[ + \sum_{j \neq k} \left[ |d_x \cos \varphi + d_y \sin \varphi - id_s \text{sgn}(j)|^2 \tilde{U}_{2D}(k) \right] \times |\psi_j|^2(k). \quad (11) \]
Inserting the inverse Fourier transform of Eq. (11) into Eq. (4), we are left with the uncoupled equation

\[ i\hbar \partial_t \psi_0 = \left\{ -\frac{1}{2} \nabla^2 + V_{\text{ho}} + \left[ \tilde{g} - \tilde{g}_d (1 - 3d_x^2) \right] |\psi_0|^2 + V_{2D} \right\} \psi_0 \]

(12)

for the central site wave function \( \psi_0 = \psi_0(\rho) \). We assume a lattice that is symmetric around the central site, so that the dipole terms linear in \( d_x \) in Eq. (11) vanish after summation. Using Eq. (10) for \( \hat{U}^{0}_{\text{2D}} \), we can perform the summation in Eq. (11) and find

\[ \hat{V}_{\text{2D}}(k) \simeq 3 \tilde{g}_d \left[ (d_x \cos \varphi + d_y \sin \varphi)^2 - d_z^2 \right] \times \left[ \hat{U}_{\text{2D}}^{N_\text{s}}(k) \right]|\psi_0|^2(k), \]

(13)

with

\[ \hat{U}_{\text{2D}}^{N_\text{s}}(k) = k \left( \frac{1 - e^{-i(N_\text{s} + 1)k/2}}{1 - e^{-k}} - 1 \right). \]

(14)

Here, we summed over \( N_\text{s} \) central lattice sites. In the limit of an infinite lattice the maximum of \( \hat{U}_{\text{2D}}^{N_\text{s}} \) moves towards \( k = 0 \) with \( \lim_{k \to 0} \hat{U}_{\text{2D}}^{N_\text{s}}(k) = 1 \). Therefore, the total DDI potential for an infinite stack of BECs does not vanish any longer at \( k = 0 \) (dashed line in the inset of Fig. 2). However, this is a pathological case because for any finite \( N_\text{s} \), the total DDI potential vanishes at \( k = 0 \) and our assumption of slowly varying wave functions breaks down towards the boundary.

III. VALIDITY OF THE 2D MODEL

In this section, we investigate the validity of the effective 2D model for multilayered dipolar BECs introduced in Sec. II. To this end we computed ground states for the 3D GPE [Eq. (2)] [62], the coupled 2D model [Eq. (4)] [63], and the single-mode 2D model [Eq. (12)] [64] using the normalized gradient flow (imaginary time) method. For the time discretization we used backward Euler finite difference [64]. For the spatial discretization we employed the sine pseudospectral [62] and the Fourier pseudospectral methods [49] for the 3D GPE and the 2D models, respectively. For the 3D computation we assumed that the wave function vanishes at the boundaries. We integrated the 3D ground states over the individual lattice sites to find the \( N_\text{s} \) densities \( |\psi_{\text{3D}}(\rho)|^2 = \int_{S(\rho)} d^2 z |\psi(\mathbf{r})|^2 \). To determine the validity of the 2D model we compared the 2D ground states \( \psi_{\text{3D}}(\rho) \) to \( \psi_{\text{2D}}(\rho) \). Using the single-mode approximation reduced the computation times drastically, typically to less than a minute, compared to 2–3 h for the coupled equations and \( 1 \) d for the 3D GPE. In this section we only consider polarization in the \( x-z \) plane, that is, \( \mathbf{d} = (\sin \vartheta, 0, \cos \vartheta) \) (cf. Fig. 1). Because the external potential is radially symmetric, this simplification corresponds to choosing the transverse projection of the polarization direction as the \( x \) axis.

To compare the axial profiles of the coupled 2D and 3D ground states we computed the relative particle numbers in each lattice site. Because of the long range of the DDI, we observe fairly pronounced boundary effects in the 3D computations for strong dipolar interactions \( g_d \simeq g \). For this reason we omit the \( N_\text{o} \) outermost lattice sites in the overall normalization.

Then the relative number of particles in site \( \ell \) for the 2D model is given by \( N_{\ell} = \int d^2 \rho |\psi_{\ell}(\rho)|^2 / \sum_{\ell=-N_\text{o}}^{N_\text{o}} \int d^2 \rho |\psi_{\ell}(\rho)|^2 \) (the relative particle number \( N_{\ell}^{\text{3D}} \) for the 3D GPE follows by replacing \( |\psi_{\ell}|^2 \) with \( |\psi_{\text{3D}}(\rho)|^2 \) ). Figure 3 shows the particle number difference \( (N_{\ell}^{\text{3D}} - N_{\ell})/N_{\ell}^{\text{3D}} \) relative to the particle number at the central lattice site. Although the number difference varies slightly over the central lattice sites, the difference between the GPE and the 2D model [Eq. (4)] remains smaller than 4% and 1% for the two parameter sets, respectively.

Next we compared the density profiles of the central lattice site \( |\psi_{0}(\rho)|^2 \) for the coupled and single-mode models with \( |\psi_{0}^{\text{3D}}(\rho)|^2 \). The sums of the densities of the coupled 2D and the total density of the 3D GPE are normalized to a function proportional to the total particle number \( N(N) \). However, in the single-mode approximation we only consider a single wave function which has, consequently, a normalization less than \( N \). If the BEC density were the same in all layers, the normalization of this single wave function would be \( N/\sqrt{N} \). Because the density varies slightly across layers, instead we chose to normalize the single-mode density to the particle number in the central layer of the GPE. The ground-state densities for various DDI strengths and polarization angles are shown in Fig. 4. We find that both the coupled and single-mode models describe the ground state well for any polarization. We only observe a slight difference between the models for strong DDI on the order of the contact interaction and parallel polarization (top left panel in Fig. 4). This means that even the single-mode approximation describes the
The interlayer DDI can cause observable effects in multi-layered dipolar BECs. This becomes apparent from Fig. 2: the strength of the interlayer DDI is comparable to the strength of the intralayer DDI at wavelengths larger than the interlayer distance. We expect that the anisotropy of the DDI for \( \theta > 0 \) leads to a change in the aspect ratio of a quasi-2D dipolar BEC in the central layer of a stack of dipolar BECs. The DDI-induced change of the aspect ratio has been observed in time-of-flight experiments with \(^{52}\text{Cr} \) \cite{17,65}. In this section, we investigate these effects numerically using the single-mode approximation for the central layer.

We numerically computed ground-state densities of the central layer for a varying number of lattice sites and different polarization directions. We used the single-mode approximation \cite{12} and kept the normalization constant for all lattice sizes in each set of the polarization direction. Keeping the central layer normalization constant for increasing lattice sites requires a higher number of atoms in an experiment. To preserve symmetry around the central layer we only considered an odd number of lattice sites. Using this ground state \( \psi_0 \), we calculated the squared mean radii as

\[
R_a^2 = \int d^2 \rho a^2 |\psi_0(\rho)|^2 \quad (\alpha = x, y). \tag{15}
\]

The aspect ratio of the central layer is then given by \( R_x/R_y \). Magnetostiction causes the dipolar BEC to expand along the polarization direction \cite{34,49}. Figure 5 shows the aspect ratio as well as the individual mean radii of the BEC as a function of the number of lattice sites \( N_x \). The case \( N_x = 1 \) corresponds to a single-layer dipolar BEC. We observe that the interlayer DDI causes an additional reduction in the aspect ratio depending on the number of lattice sites and polarization angle. For perpendicular polarization the aspect ratio remains unchanged because the DDI is isotropic. However, the individual radii decrease. We have also computed aspect ratios for a stronger lattice with \( V_0 = 40E_r \) and observed a similar dependence of the mean radii on \( N_x \). For this stronger lattice and \( \theta = \pi/4 \) the aspect ratio was closer to 1, and its change was slightly smaller than at \( V_0 = 20E_r \). For perpendicular polarization the mean radii and aspect ratio were nearly indistinguishable from the top panel in Fig. 5. We also computed the aspect ratios for a ground state whose density reduces with increasing \( N_x \) as \( 1/N_x \). This case approximates an experiment where the total BEC density is kept constant and distributed evenly over all layers. These data also show a marked change in the aspect ratio with an increasing number of layers. We suggest that the dependence of the aspect ratio on \( N_x \) could be observed via time-of-flight expansion. To observe the central layers, in this experiment the outer layers would have to be removed on a

FIG. 4. (Color online) Ground-state densities of the central lattice site for various DDI strengths and polarization angles. The filled surfaces are the projection of the central site of the GPE results, whereas the solid (dashed) contour lines are the ground states of the coupled (single-mode) 2D equation \( \text{(4)} \). The plotted densities are normalized to 1. The coupled and single-mode results are almost indistinguishable except in the top left panel. The parameters are as in Fig. 3. The plots use the magnetic length \( a_0 = \sqrt{\hbar/m\omega} \) as the length unit.

FIG. 5. Mean radii and aspect ratio of the central BEC layer as a function of the number of lattice sites. The different panels correspond to different polarization angles. The interlayer DDI has a noticeable effect over several lattice sites. The lines are marked at the right and are only to guide the eye. The parameters are as in Fig. 3 with \( g_x/K = 19/20 \).
time scale short enough to suppress equilibration, e.g., with additional lasers focused on the outer layers. This is followed immediately by time-of-flight expansion of the BEC. The observable effect is largest for parallel polarization $\vartheta = \pi/2$.

V. EXCITATION SPECTRUM AND INSTABILITIES

In this section we investigate the excitation spectrum of a layered quasi-2D dipolar BEC. In particular, we focus on the influence of the polarization direction. When the polarization is (partly) in plane, the Bogoliubov energy can assume imaginary values for suitable parameters. As in the single-layer 2D case, this indicates the onset of a dynamical instability that leads to exponential growth of excitations [8]. Previous numerical work has found that a biconcave ground state emerges close to this instability [38,39], which is beyond the scope of the present article.

To determine the Bogoliubov energy we consider small perturbations around the ground state of Eq. (4). For simplicity we assume a vanishing transverse harmonic potential $V_{\text{ho}} = 0$ and homogeneous density $\nu$ in each layer. For an optical lattice with $N_s$ sites $\nu = 1/N_s$. A stationary state of the effective 2D GPE (4) is given by $\psi_0(\mathbf{r},t) = e^{-i\omega t/\sqrt{\nu}}$, with the chemical potential $\mu = \left[ \mathbf{g} - \bar{g}_d(1-3d^2) \right]/\sqrt{\nu}$.

Now we add a local perturbation $\xi(\mathbf{r},t)$ to the stationary state $\psi_0(t)$, that is, $\psi(\mathbf{r},t) = e^{-i\omega t/\sqrt{\nu}} + \xi(\mathbf{r},t)$. We expand the perturbation in a plane-wave basis as $\xi(\mathbf{r},t) = (1/2\pi) \int d^2q \xi(\mathbf{q},t) e^{i\mathbf{q}\cdot\mathbf{r}}$ and insert $\psi(\mathbf{r},t)$ into Eq. (4). Here, $\omega_q$ are the excitation frequencies of quasimomentum $\mathbf{q}$ and $u_{q\ell}$, $v_{q\ell}$ are the mode functions in layer $\ell$. Specializing to perpendicular polarization and keeping terms linear in the excitations $u_{q\ell}$ and $v_{q\ell}$, we find the Bogoliubov–de Gennes equations

$$\begin{align*}
\omega_q u_{q\ell} =& \frac{q^2}{2} u_{q\ell} + v(\mathbf{g} + 2\bar{g}_d)(u_{q\ell} + v_{q\ell}) - g_{d\ell} v \sum_j \hat{U}_{2D}^{j\ell}(q)(u_{qj} + v_{qj}), \\
-\omega_q v_{q\ell} =& \frac{q^2}{2} v_{q\ell} + v(\mathbf{g} + 2\bar{g}_d)(v_{q\ell} + u_{q\ell}) - g_{d\ell} u \sum_j \hat{U}_{2D}^{j\ell}(q)(v_{qj} + u_{qj}).
\end{align*}$$

(17)

(18)

Excitations in layer $\ell$ are coupled to excitations in all layers through the interlayer DDI. However, the interlayer DDI drops exponentially with the distance [cf. Fig. 2 and Eq. (9)]. Hence, we only take into account nearest-neighbor interactions $|\ell - j| \leq 1$. Consequently, the matrix of the system of Eqs. (17) and (18) becomes tridiagonal. The Bogoliubov energy $E_\ell(q) = \omega_q$ is its eigenenergy, which is determined by

$$E_\ell(q) = \frac{q^2}{2} + 2\bar{g}_d v - 3g_{d\ell} \hat{U}_{2D}(q) - 12g_{d\ell} v \hat{U}_{2D}^{\ell+1\ell}(q).$$

(19)

Because $\hat{U}_{2D}^{j\ell}(q)$ vanishes for zero quasimomentum, the speed of sound $c = \lim_{q \to 0} \partial E_\ell(q)/\partial q = \sqrt{\mathbf{g} + \hat{U}_{2D}(q)}$ is not influenced by the interlayer DDI. Only the intralayer DDI increases the speed of sound via its zero-momentum mode. We note that Eq. (19) without the interlayer DDI leads to the squared dispersion of a pancake-shaped dipolar BEC at perpendicular polarization given by Fischer [9].

Next we generalize the Bogoliubov energy in multilayer dipolar BECs to arbitrary polarization direction. After inserting the expansion of the 2D wave functions into Eq. (4) we find the squared Bogoliubov energy

$$E_\ell(q) = \frac{q^2}{2} + 2\mu \left[ 1 + \frac{3}{G} \hat{W}_{2D}^{\ell\ell}(q) - \frac{6}{G} \hat{W}_{2D}^{\ell+1\ell}(q) \right].$$

(20)

Here, $\hat{W}_{2D}^{j\ell}(q) = \mathbb{I} \langle d, \cos \varphi + d, \sin \varphi \rangle - d_z^2 \hat{U}_{2D}^{j\ell}(q)$ in polar coordinates $\mathbf{q} = \mathbf{q}(\cos \varphi, \sin \varphi)$ and $G = (g_{d\ell}^2 - (1 - 3d^2))/\sqrt{2\pi} y$. In general, this excitation energy is anisotropic but mirror symmetric around the polarization direction projected onto the $x$-$y$ plane. The interlayer interaction always reduces the Bogoliubov energy compared to the Bogoliubov energy of a dipolar BEC with only intralayer DDI [30]. This means that interlayer DDI drives the BEC closer towards an instability regardless of the polarization direction.

First, we investigate the instability in the Bogoliubov spectrum at low momenta (phonon instability). From Eq. (20) we find the condition $\tilde{g} < \tilde{g}_d(1-3d^2)$ for imaginary excitation energies at $q \to 0$. For perpendicular and in-plane polarizations this condition coincides with the conditions for the formation of isotropic and anisotropic solitons in a single layer, respectively [30,66,67]. A repulsive BEC with the natural sign of the DDI $g_{d\ell} > 0$ is always stable against this instability for $\vartheta \leq \vartheta_c \simeq 54.7^\circ$.

Figure 6 shows the Bogoliubov energy Eq. (20) of a dipole-dominated quasi-2D multilayer BEC for three polarization directions. For nonperpendicular polarizations the Bogoliubov energy becomes anisotropic. In the bottom panel of Fig. 6(a) we observe the phonon instability at low momenta for $\vartheta = \pi/2$. Figure 6(b) shows the excitation energies along different excitation propagation directions $\varphi$ at moderately small DDI. The interlayer DDI reduces the energy compared to a single-layer quasi-2D dipolar BEC. We also observe the development of a roton minimum in the excitation spectrum. For comparison we plot the Bogoliubov energy for $^{52}$Cr in Fig. 6(b), where we assumed that the contact interaction has been reduced to $g = 0$ via a Feshbach resonance [18]. The interlayer DDI strength of $^{52}$Cr is too weak to influence the dispersion significantly.

Now we investigate in more detail the roton minimum and the development of an instability in the Bogoliubov spectrum at higher momenta. Depending on the directions of polarization and excitation propagation, the momentum-dependent DDI terms in Eq. (20) may become negative. For sufficiently large $\mu$ this leads to a roton minimum in the spectrum. Following Ref. [9], we vary $\mu$ to find the critical chemical potential $\mu_{\text{crit}}$, which leads to imaginary excitation energy at the roton minimum. Our generalized dispersion allows us to find the critical chemical potential for arbitrary polarization direction and including the interlayer DDI.

In Fig. 7 we plot the critical chemical potential $\mu_{\text{crit}}$ in the dipole-dominated regime $(g_{d\ell} = 0)$ as a function of polarization angle in the $x$-$z$ plane. For perpendicular
interlayer DDI does not influence high energies where the in-plane DDI terms include the intralayer DDI. The green dotted line represents $52\text{Cr}$. The lines include intra- and interlayer DDIs, whereas the dashed line only includes intralayer DDI. The insets show the instability regions as red (dark gray) shaded areas in the $x$-$z$ plane for different momenta $\mathbf{q}$. Other parameters are as in Fig. 3.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure6.png}
\caption{(Color online) Bogoliubov energies for different polarizations and DDI strengths. (a) Polar plots marked with the magnitude and angle of $\mathbf{q}$. White areas mark unstable regions. (b) Cuts through Bogoliubov energies at the polar angles indicated in (a). Solid lines include intra- and interlayer DDIs, whereas dashed lines only include the intralayer DDI. The green dotted line represents $52\text{Cr}$. The interlayer DDI does not influence high energies where the in-plane excitations become particle-like. Parameters are as in Fig. 3, with $g = 0$ and $\nu = 1/10$.}
\end{figure}

polarization we recover the critical value $\mu_{\text{crit}} \approx 3.446/\gamma^2$ found in Ref. [9]. Up to the angle $\vartheta_c$, we find that $\mu_{\text{crit}}$ decreases as the polarization moves into the BEC plane. This is the result of decreasing $G$, which emphasizes the momentum-dependent DDI terms in Eq. (20). For $G < 0$, i.e., $\vartheta > \vartheta_c$, the development of a roton instability in the dipole-dominated regime requires $g_d < 0$. A negative DDI strength can be achieved with a quickly rotating field [55]. We also find that the interlayer DDI term does not influence markedly the value of $\mu_{\text{crit}}$ if $\gamma \ll 1$ [see the solid and dashed lines in Fig. 7]. The reason is that the interlayer DDI $U_{2D}$ vanishes at large momenta on the order of $1/\gamma$, whereas the intralayer DDI $U_{2D}$ approaches a constant nonzero value [cf. Fig. 2]. For the BEC to be quasi-2D requires $\mu \gamma^2 \ll 1$ (shaded area in Fig. 7). Hence, for $\vartheta \lesssim 40^\circ$ the roton instability in the dipole-dominated regime occurs only in the crossover regime from 2D to 3D, i.e., when excitations along the $z$ axis are not suppressed. The right inset in Fig. 7 shows the instability region as a function of $\vartheta$ in the 2D-3D crossover regime. Conversely, a stack of quasi-2D layers of a dipole-dominated BEC with $g_d > 0$ and $\vartheta \lesssim 40^\circ$ always remains stable because of the effectively repulsive character of the DDI. This system may only exhibit a roton instability for polarization angles $40^\circ \lesssim \vartheta < \vartheta_c$. The left inset in Fig. 7 shows that for small $g_d$ we only find the phonon instability at $\vartheta = \vartheta_c$, whereas the roton minimum remains stable for these parameters.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure7.png}
\caption{(Color online) Critical chemical potential for the roton instability in the dipole-dominated regime ($g_gd = 0$) as a function of polarization angle in the $x$-$z$ plane. The solid line includes intra- and interlayer DDIs, whereas the dashed line only includes intralayer DDI. The insets show the instability regions as red (dark gray) shaded areas in the $x$-$z$ plane for different momenta $\mathbf{q}$. Other parameters are as in Fig. 3.}
\end{figure}

VI. CONCLUSION

We have shown that interlayer DDI in a multilayer stack of dipolar BECs markedly reduces the aspect ratio of the quasi-2D BEC in the central layer. The greatest change in aspect ratio occurs for parallel polarization. We have suggested that this effect of the interlayer DDI is observable in time-of-flight images of the central layer.

We have presented a 2D model for a stack of quasi-2D dipolar BECs created by a strong 1D optical lattice and a transverse harmonic potential. Our model is based on a dimension reduction of the GPE assuming a Gaussian axial density profile of the wave function in the individual layers. We have derived effective intra- and interlayer DDI potentials for the resulting coupled quasi-2D BECs. For weak interlayer DDI we have observed only small variations in the particle numbers per lattice site, which has allowed us to derive a single-mode approximation for the quasi-2D BECs in the central sites. On our computer, this approximation also reduced the time for computing ground states from ~1 d to several seconds. The resulting ground states match the reduced ground states of the 3D GPE excellently up to moderately large DDI strengths.
For large DDI strengths $g_d \approx g$ we have still found very good agreement at all polarizations.

Finally, the interlayer DDI reduces the squared Bogoliubov energy, which influences the development of a roton minimum and leads to an instability (imaginary energy) for large density or DDI strength. We have shown how this instability shifts from the 3D to a quasi-2D regime with changing polarization angle.

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APPENDIX: DERIVATION OF THE EFFECTIVE 2D MODEL

In this Appendix we present the derivation of the effective 2D model for multilayered dipolar BECs in a 1D optical lattice [Eq. (5)]. First, we use the identity $U_{3D}(r) = -\Delta d[\delta(r)/3 + \delta_{dd}(1/4\pi |r|)]$ to split the DDI into local and nonlocal parts [62,68]. Then we approximate $V_d(z) \approx \frac{1}{\pi \rho^2} \sum_j (z_j - z)^2$, where $w_j(z) = (1/\pi \rho^2)^{1/2} e^{-(z_j^2-\rho^2)/2}$. We multiply by $w(z)$ and integrate the resulting equation over $z$. Setting $\int dz w(z) w_j(z) = 0$ for $j \neq j$ and using $\int dz w_j(z) = 1$, $\int dz w_j(z) = 1/(2\pi \rho^2)$, we find

$$i\partial_t \psi_\ell = \left[ -\frac{1}{2} \nabla_\perp^2 + V_{ho} + (\xi - \delta_{d})|\psi_\ell|^2 \right] \psi_\ell + \Psi_\ell. \quad (A1)$$

Here, $\nabla_\perp = \partial_{xx} + \partial_{yy}$ and

$$\Psi_\ell = -3g_d \int dz'd^3r' \psi_j(z') \delta_{dd} U_{3D}(r - r')$$

$$\times \sum_{j,p,q} \psi_j(\rho',t) \psi_p(\rho',t) \psi_q(\rho,t) w_j(z') w_p(z') w_q(z). \quad (A2)$$

The kernel in Eq. (A2) fulfills $\nabla_\perp^2 U_{3D}(r) = -\delta(r)$ so that for any $f = f(r)$

$$\partial_d (U_{3D} \ast f) = -f - \nabla_\perp^2 (U_{3D} \ast f), \quad (A3)$$

where $\ast$ denotes the convolution. We expand the directional derivative in Eq. (A2) as $\partial_d = \partial_{d_1} + d_2^2 \partial_z + 2d_1 \partial_{d_1}$, with $d_1 = (dx,dy)$. Applying Eq. (A3) to the convolution in Eq. (A2) yields

$$\Psi_\ell = -3g_d \left[ \frac{d_2^2}{\sqrt{2\pi \gamma^2}} - \sum_{j,p,q} \int dz'd^3r' \psi_j(\rho',t) w_j(z') \psi_p(\rho',t) w_p(z') \right]$$

$$\times \left( \partial_{d_1} + d_2^2 \nabla_\perp^2 + 2d_1 \partial_{d_1} \right) U_{3D}(r - r')$$

$$\times \psi_j(\rho',t) \psi_p(\rho',t) w_j(z') w_p(z'). \quad (A4)$$

The first term in Eq. (A4) contributes to the contact interaction, whereas the second term forms the nonlocal potential.

The even kernel $U_{even}^{jj}[\text{Eq. (6)}]$ is determined by the terms in Eq. (A4) with only radial derivatives. After inserting the Gaussians $w_j$ into Eq. (A4), we need to solve the integral

$$\int dz_1 dz_2 \frac{e^{-[(z_1^2 + z_2^2)/2 + (z_1^2 + z_2^2)/2]}}{4\pi^2 \gamma^2 (x - x')^2 + (y - y')^2 + (z - z')^2}. \quad (A5)$$

We substitute $\xi = z - z' - (z_j + z_{j'} - z_j - z_{j'})/2$, $\xi' = z + z' - (z_j + z_{j'} + z_j + z_{j'})/2$ in Eq. (A5) and integrate over $\xi'$. The solution defines the even kernel of the DDI potential with $\rho = \sqrt{(x - x')^2 + (y - y')^2 + (z - z')^2}$.

$$U_{even}^{jj}(\rho) = \frac{1}{2(2\pi \gamma^2)^{3/2}} \int dz e^{-\xi/2\gamma} e^{-\xi'/4\gamma} \left[ \rho^2 + (\xi + \Delta_{qp} + \Delta_{pq})^2 \right]^{3/2}. \quad (A6)$$

In Fourier space with $k = k(\cos \varphi, \sin \varphi)$, the derivatives $\partial_{d_1} = -d_2^2 \nabla_\perp^2$ in Eq. (A4) become $-k^2 \delta(d_1 \cos \varphi, d_1 \sin \varphi) - d_2^2$. We use the convention $f(k) = (1/2\pi) \int d^3p \rho(p) \rho(k) e^{-ik \rho}$ for the 2D Fourier transform. With this normalization the convolution theorem is $\mathcal{F}(f \ast h) = 2\pi \mathcal{F}(f) \mathcal{F}(h)$. For radially symmetric $f(p) = f(\rho)$: $f(k) = \int d\rho \rho f(\rho) J_0(\rho k)$, with $J_0$ being the Bessel function. Using this formula for the Fourier transform of Eq. (A6), and multiplying by $2\pi k^2$ from the convolution and the Fourier transform of the derivatives in Eq. (A4), we find

$$\hat{U}_{even}^{jj}(k) = \frac{k}{4} \left[ \frac{(\eta^2 k^2 + (\Delta_{qp} + \Delta_{pq})/2)}{\sqrt{2\pi^2}} \right]$$

$$\times e^{-\Delta_{qp} + \Delta_{pq} + \Delta_{pq}} \eta^2 \frac{(\xi + \Delta_{qp} + \Delta_{pq})^2}{\sqrt{2\pi^2}}. \quad (A7)$$

For $j = p = q = \ell$, Eq. (A7) reduces to the intralayer DDI $U_{3D}(k)$. Because of the exponential prefactor, terms where all $j,p,q,\ell$ are mutually unequal are strongly suppressed. Similarly, terms with $j = q = \ell$ and $j \neq \ell$ are exponentially suppressed. The remaining terms $q = \ell, p = j$, and $j \neq \ell$ form the interlayer DDI kernel $\hat{U}_{even}^{jj}[\text{Eq. (6)}].$

The odd kernel $U_{odd}^{jj}$ [Eq. (7)] is determined by the term in Eq. (A4) with derivative $\partial_{d_1}$. Using $\partial_{d_1} U_{3D}(r) = (d_1 U_{3D}) \ast g$, we insert the derivative $\partial_{d_1} U_{3D}$ into Eq. (A4). Then we need to solve the integral

$$\int dz' \frac{e^{-[(z_1^2 + z_2^2)/2 + (z_1^2 + z_2^2)/2]}}{4\pi^2 \gamma^2 ((x - x')^2 + (y - y')^2 + (z - z')^2)^{3/2}}. \quad (A8)$$

Following the steps for the even kernel, we obtain the odd kernel,

$$U_{odd}^{jj}(\rho) = -\frac{1}{2(2\pi \gamma^2)^{3/2}} \int dz \left( \xi + \Delta_{qp} + \Delta_{pq} \right)$$

$$\times e^{-\xi/2\gamma} e^{-\Delta_{qp} + \Delta_{pq} + \Delta_{qp}^2/4\gamma^2} \left[ \rho^2 + (\xi + \Delta_{qp} + \Delta_{pq})^2 \right]^{3/2}. \quad (A9)$$
The Fourier transform of $U_{\text{odd}}^{\rho \rho} \ [\text{Eq. (A9)}]$ multiplied by $2\pi k$ from the Fourier transforms of the convolution and the remaining radial derivative is given by

$$\hat{U}_{\text{odd}}^{\rho \rho}(k) = \frac{k}{4} \left[ \eta \left( \frac{y^2 R^2 + (\Delta q + \Delta t_p)^2}{2y^2} \right) \right. \\
- \left. \eta \left( \frac{y^2 R^2 - (\Delta q + \Delta t_p)^2}{2y^2} \right) \right]
\times e^{-\frac{2\Delta q^2 + 2\Delta t_p^2 + (\Delta q + \Delta t_p)^2}{8y^2}}. \tag{A10}$$

Only terms with $q = \ell$, $p = j$ are not exponentially suppressed in Eq. (A10). Hence, we recover $\hat{U}_{\text{odd}}^{ij}$ [Eq. (7)].

By combining Eqs. (A7) and (A10) with Eq. (A4) and neglecting the suppressing terms in the sum we recover the DDI potential [Eq. (5)] in Fourier space.

For completeness we present an approximation of the spatial potential for multilayer DDI with arbitrary polarization direction. To obtain this approximation we take the limit $\gamma \to 0$ in Eqs. (A6) and (A9) and treat the Gaussians in $\xi$ as approximations for the Dirac $\delta$ distribution:

$$\lim_{\gamma \to 0} U_{\text{even}}^{\ell}(\rho) = \frac{1}{4\pi} \frac{1}{(\rho^2 + \Delta_{\ell j}^2)^{3/2}}, \tag{A11}$$

$$\lim_{\gamma \to 0} U_{\text{odd}}^{\ell}(\rho) = -\frac{1}{4\pi} \frac{\Delta_{\ell j}}{(\rho^2 + \Delta_{\ell j}^2)^{3/2}}. \tag{A12}$$

Again, we neglect the exponentially suppressed terms. Inserting these kernels into Eq. (A4) and calculating the remaining derivatives, we find

$$V_{2D}^{\ell}(\rho) = 3g_d \sum_j \int d\rho \ U_{2D}^{\ell}(\rho - \rho') |\psi_j(\rho', t)|^2, \tag{A13}$$

with

$$U_{2D}^{\ell}(\rho) \simeq \frac{1}{4\pi} \frac{1}{(\rho^2 + \Delta_{\ell j}^2)^{3/2}} \left[ \rho^2 + (1 - 3d_z^2)\Delta_{\ell j}^2 \right. \\
- \left. 6d_z \Delta_{\ell j} |\mathbf{d}_\perp \cdot \rho - 3|\mathbf{d}_\perp \cdot \rho|^2 \right]. \tag{A14}$$

For the intralayer part $j = \ell$ this approximation remains valid for $\rho \gg \gamma$. We note that Eq. (A14) corresponds to the dimensionless DDI potential [Eq. (1)] projected onto 2D planes separated by $\Delta_{\ell j}$.