

# Recursion Theory of Ramsey's Theorem

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Chitat CHONG

Department of Mathematics  
National University of Singapore

[chongct@math.nus.edu.sg](mailto:chongct@math.nus.edu.sg)

# Cohesiveness

Let  $\mathcal{M} = \langle M, \mathbb{X}, +, \times, 0, 1 \rangle \models \text{RCA}_0$ .

## Definition

Let  $R \in \mathbb{X}$ . A set  $C$  is *cohesive* for the array  $\{R_i\}_{i \in M}$ , where  $R_i = \{s \mid (i, s) \in R\}$ , if for each  $i$ , either  $C \cap R_i$  or  $C \cap \bar{R}_i$  is  $\mathcal{M}$ -finite.  $C$  is said to be  $R$ -cohesive.

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$\mathcal{M} \models \text{COH}$  if for any array  $R$  in  $\mathbb{X}$ , there is an  $R$ -cohesive set in  $\mathbb{X}$ .

## Lemma (4.1)

(Cholak, Jockusch and Slaman [2001])

$\text{RCA}_0 \vdash \text{RT}_2^2 \leftrightarrow \text{SRT}_2^2 + \text{COH}$ .

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*Proof.* Let  $\mathcal{M} \models RCA_0 + SRT_2^2 + COH$  and  $f : [M]^2 \rightarrow 2$  a 2-coloring of pairs where  $f$  is in  $\mathbb{X}$ .

- Let  $R_i = \{s \mid f(i, s) = 1\}$ . By COH there is an  $R$ -cohesive set  $C$ , where  $R = \{(i, s) \mid (i, s) \in R_i\}$ . Let  $f_C(i, s) = 1$  if  $f(i, x_s) = 1$ , where  $x_s$  is the  $s$ th element of  $C$ , and 0 otherwise. Then  $f_C$  is stable and by  $SRT_2^2$  there is an  $H_{f_C} \in \mathbb{X}$ . Then  $\{s \mid x_s \in H_{f_C}\}$  is a set homogeneous for  $f$ .
- Conversely, let  $R$  be an array. For each  $s$ , let the  $i$ -state of  $s$  be  $\{j \leq i \mid s \in R_j\}$ , where  $i \leq s$ . Order  $i$ -states lexicographically. Let  $f(i, s) = 1$  if the  $i$ -state of  $s$  is greater than or equal to the  $i$ -state of  $i$ , and 0 otherwise. Then any  $H_f$  is  $R$ -cohesive.

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# COH Preserving $I\Sigma_2^0$

## Theorem (4.1)

(Cholak, Jockusch and Slaman [2001]) *Let  $\mathcal{M} \models \text{RCA}_0 + I\Sigma_2^0$ .  
Then  $\mathcal{M}$  is an  $M$ -submodel of an  $\mathcal{M}^* \models \text{RCA}_0 + I\Sigma_2^0 + \text{COH}$ .*

## Definition

Let  $T_1 \subset T_2$  be subsystems of second order arithmetic.  $T_2$  is  $\Pi_1^1$ -conservative over  $T_1$  if every  $\Pi_1^1$  sentence that is provable over  $T_2$  is already provable over  $T_1$ .

## Corollary

$\text{RCA}_0 + I\Sigma_2^0 + \text{COH}$  is  $\Pi_1^1$ -conservative over  $\text{RCA}_0 + I\Sigma_2^0$ .

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# An $R'$ -recursive Tree of Cohesive Paths

Fix  $\mathcal{M} = \langle M, \mathbb{X}, +, \times, 0, 1 \rangle$ . We present a different proof whose idea will be used again for the  $B\Sigma_2^0$  case.

## Lemma (4.2)

Let  $\mathcal{M} \models \text{RCA}_0 + I\Sigma_2^0$  and  $R \in \mathbb{X}$  an array. Then there is an  $\mathcal{M}$ -infinite  $R'$ -recursive tree  $T$  such that every  $\mathcal{M}$ -infinite path  $G$  is  $R$ -cohesive and generalized  $R$ -low (i.e.  $G' \leq_T G \oplus R'$ ).

*Proof.* Let  $R_i = \{s \mid (i, s) \in R\}$ .

- $\nu \in 2^s$  is *fulfilled* if there is a  $\sigma$  such that (i)  $\sigma(x) = 1$  for some  $x \leq \text{lth}(\sigma)$  and (ii)  $\forall x \sigma(x) = 1$  if and only if  $x \in \bigcap_{\nu(i)=1} R_i \cap \bigcap_{\nu(i)=0} \bar{R}_i$ .
- If  $\nu$  is fulfilled, let  $\sigma_\nu$  be the least  $\sigma$  that witnesses it. Let  $F_s = \{\sigma_\nu \mid \nu \in 2^{s+2}\}$ .

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- Let  $C_0[\sigma_\nu] = \{\sigma \geq \sigma_\nu \mid \sigma \subset \bigcap_{\nu(i)=1} R_i \cap \bigcap_{\nu(i)=0} \bar{R}_i\}$  where  $\nu \in 2^2$ .
- Let  $C_0 = \bigcup_{\sigma_\nu \in F_0} C_0[\sigma_\nu]$ .  
**Claim 1.**  $C_0$  is  $\mathcal{M}$ -infinite.
- Otherwise, let  $s_0$  be the least upper bound and let  $\sigma = \bigcap_{\nu(i)=1} R_i \cap \bigcap_{\nu(i)=0} \bar{R}_i \upharpoonright s_0$ .

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# An $R'$ -recursive Tree with Cohesive Paths

For  $\sigma \in C_0$ , let  $C_0[\sigma] = \{\tau \in C_0 \mid \sigma \leq \tau\}$ . Let  $\sigma^*$  be the least  $\tau$  in  $C_0[\sigma]$  such that  $0 \in \tau'$ , if this exists. Let it be  $\sigma$  otherwise. Let  $C_0^* = \bigcup_{\sigma^* \in C_0} C_0[\sigma^*]$ . Let  $T_0 = \{\sigma^* \mid \sigma^* \in C_0^*\}$ . This is an  $\mathcal{M}$ -finite set. Let  $s_0$  be the maximum of  $T_0$ .

If  $C_j^*$  and  $T_j$   $s_j$  are defined and  $s \geq s_j$ , let

$$C_{s,j} = \{\sigma \in C_j^* \mid \exists \sigma_s [\sigma \subset \bigcap_{\sigma_s(i)=1} R_i \upharpoonright s \bigcap_{\sigma_s(i)=0} \bar{R}_i \upharpoonright s]\}.$$

Define  $T_{j+1}$  from  $C_{j+1}^*$  similarly. Let  $T = \bigcup_j T_j$ . Then  $T$  is  $R'$ -recursive. Every unbounded path in  $T$  is  $R$ -cohesive, and generalized  $R$ -low.

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# Preserving $I\Sigma_2^0$ in the Path

## Lemma (4.3)

If  $\mathcal{M} \models \text{RCA}_0 + I\Sigma_2^0$  is countable and  $R \in \mathbb{X}$ , then any  $\mathcal{M}$ -infinite  $R'$ -recursive tree  $T$  has an  $\mathcal{M}$ -infinite path  $G$  such that  $\mathcal{M}[G] \models I\Sigma_2^0$ .

*Proof.* We will select a path through  $T$  that satisfies  $I\Sigma_2^0$ .

- Let  $\exists x \varphi_n(x, y, G \oplus \emptyset')$  be the  $n$ th  $\Sigma_1^0$  with free variable  $y$ .
- Suppose that  $T_{n-1} \subset T$  is  $\mathcal{M}$ -infinite and  $\sigma_{n-1} \in T_{n-1}$  are defined so that (i)  $T_{n-1}$  is  $R'$ -recursive, (ii) all strings in  $T_{n-1}$  extend  $\sigma_{n-1}$  and (iii) for all  $m < n$ , either there is a least  $c \leq \text{lth}(\sigma_{n-1})$  such that  $\exists x \varphi_m(x, c, \sigma_{n-1} \oplus R')$ , or no  $\sigma \geq \sigma_{n-1}$  in  $T_{n-1}$  satisfies  $\exists x \varphi_m(x, c, \sigma \oplus R')$  for any  $c$ .

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## Preserving $I\Sigma_2^0$ in the Path

- For each  $c$ , let

$$U_c = \{\sigma \in T \mid \forall x \leq \text{lth}(\sigma) \forall c' \leq c \neg \varphi_n(x, c', \sigma \oplus R')\}.$$

- “ $F = \{c \mid U_c \text{ is } \mathcal{M}\text{-finite}\}$ ” is  $\Sigma_1^0(R')$ . By  $I\Sigma_2^0$  in  $\mathcal{M}$ , if  $F \neq \emptyset$  it has a least element  $c_n$ .
- Choose the least  $\sigma$  such that  $\sigma \in U_{c_n}$ ,  
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## Preserving $I\Sigma_2^0$ in the Path

- For each  $c$ , let

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- Let  $G = \bigcup_{n < \omega} \sigma_n$ . Then  $\mathcal{M}[G] \models \mathcal{I}\Sigma_2^0$ .

Lemmas 4.2 and 4.3 imply that there is an  $R$ -cohesive  $G$  such that  $[G] \models \mathcal{I}\Sigma_2^0$ .

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# $\Pi_1^1$ -conservation of $\text{RCA}_0 + \text{COH} + \text{I}\Sigma_2^0$

*Proof of Corollary to Theorem 4.1.*

Let  $\forall X\varphi$  be  $\Pi_1^1$  so that  $\varphi$  is arithmetical and assume  $\text{RCA}_0 + \text{COH} + \text{I}\Sigma_2^0 \vdash \forall X\varphi$ .

Suppose  $\mathcal{M}_0 \models \neg\forall X\varphi$  for some  $\mathcal{M}_0 \models \text{RCA}_0 + \text{I}\Sigma_2$ . By Löwenheim-Skolem Theorem we may assume that  $\mathcal{M}_0$  is countable. So  $\mathcal{M}_0 \models \exists X\neg\varphi$ .

By Theorem 4.1,  $\mathcal{M}_0$  is an  $M_0$ -submodel of  $\mathcal{M}_0^* \models \text{RCA}_0 + \text{COH} + \text{I}\Sigma_2$ , where  $\mathcal{M}_0 = \langle M_0, \mathbb{X}, +, \times, 0, 1 \rangle$ . But then  $\mathcal{M}_0^* \models \exists X\neg\varphi$ , a contradiction.

Thus  $\text{RCA}_0 + \text{COH} + \text{I}\Sigma_2^0$  is  $\Pi_1^1$ -conservative over  $\text{RCA}_0 + \text{I}\Sigma_2^0$ .

# $\Pi_1^1$ -conservation of $\text{RCA}_0 + \text{COH} + I\Sigma_2^0$

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# $SRT_2^2$ and $I\Sigma_2^0$

## Theorem (4.2)

(Cholak, Jockusch and Slaman [2001]) *Let  $\mathcal{M} \models RCA_0 + I\Sigma_2^0$ .  
Then  $\mathcal{M}$  is an  $M$ -submodel of an  $\mathcal{M}^* \models RCA_0 + SRT_2^2 + I\Sigma_2^0$ .*

We give a different proof of this theorem.

## Corollary

$RCA_0 + SRT_2^2 + COH + I\Sigma_2^0$  is  $\Pi_1^1$ -conservative over  $RCA_0 + I\Sigma_2^0$ .  
The same applies to  $SRT_2^2$  replaced by  $RT_2^2$ .

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# SRT<sub>2</sub><sup>2</sup> and IΣ<sub>2</sub><sup>0</sup>

## Theorem (4.2)

(Cholak, Jockusch and Slaman [2001]) *Let  $\mathcal{M} \models \text{RCA}_0 + \text{I}\Sigma_2^0$ .  
Then  $\mathcal{M}$  is an M-submodel of an  $\mathcal{M}^* \models \text{RCA}_0 + \text{SRT}_2^2 + \text{I}\Sigma_2^0$ .*

We give a different proof of this theorem.

## Corollary

$\text{RCA}_0 + \text{SRT}_2^2 + \text{COH} + \text{I}\Sigma_2^0$  is  $\Pi_1^1$ -conservative over  $\text{RCA}_0 + \text{I}\Sigma_2^0$ .  
*The same applies to SRT<sub>2</sub><sup>2</sup> replaced by RT<sub>2</sub><sup>2</sup>.*

# Stable Coloring and $f$ -hyperimmunity

## Lemma (4.4)

Let  $f : [M]^2 \rightarrow 2$  be a stable 2-coloring of pairs coded in  $\mathbb{X}$ .  
Then there is an  $\mathcal{M}$ -infinite  $f'$ -recursive tree  $T$  in which every  $\mathcal{M}$ -infinite path  $G$  is homogeneous for  $f$  and  $G' \leq_T G \oplus f'$ .

## Definition

$Y$  is  $f$ -hyperimmune if there is no  $f$ -recursive  $\mathcal{M}$ -infinite array of pairwise disjoint  $\mathcal{M}$ -finite sets  $\langle D_i \rangle_{i \in M}$  such that  $D_i \cap Y \neq \emptyset$  for all  $i$ .

## Definition

$A \subset M$  is not hyperimmune on  $f$ -low sets if for all  $\mathcal{M}$ -infinite,  $f$ -low set  $X$ ,  $A \cap X$  is not  $f$ -hyperimmune.

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## Stable 2-Coloring and $f$ -hyperimmunity

Let  $A = \{i \mid \lim_s f(i, s) = 1\}$ , the set of *eventually red* elements. Assume that for all  $\mathcal{M}$ -infinite  $f$ -low set  $X$ , both  $A \cap X$  and  $\bar{A} \cap X$  are  $\mathcal{M}$ -infinite. The proof splits into two cases.

*Case 1.*  $A \cap X$  is  $f$ -hyperimmune for some  $\mathcal{M}$ -infinite  $f$ -low set  $X$ . Let

$$H_0 = \{E \in X \mid \Phi_{0, \text{Max}(E)}^E(0) \downarrow\}.$$

We may assume that  $H_0$  is an array of pairwise disjoint  $\mathcal{M}$ -finite sets  $E$ . Then “ $H_0$  is  $\mathcal{M}$ -finite” is r.e. in  $f'$ . In this case  $f'$  may decide if there is an  $E \in H_0 \cap \bar{A}$ . If  $H_0$  is  $\mathcal{M}$ -infinite, by hyperimmunity of  $A \cap X$ , there is an  $E \in H_0$  such that  $E \subset \bar{A}$ . In either way,  $f'$  decides effectively which situation holds. Let  $\sigma_0$  be  $\sigma_E$ , where  $E \subset \bar{A}$  is the least, if it exists in either situation, and  $\emptyset$  otherwise.

If  $\sigma_s$  is defined, define  $\sigma_{s+1}$  analogously, replacing 0 by  $s+1$ , and requiring  $\sigma_s < E$ , i.e.  $E(x) = \sigma_s(x)$  for  $x \leq \text{lth}(\sigma_s)$ .

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## Stable 2-coloring and Hyperimmunity

Let  $T = \{\sigma_s \mid s \in M\}$ . Then  $T$  satisfies the requirement.  $T$  has a unique  $\mathcal{M}$ -infinite path  $G \subset \bar{A}$ .

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- For each  $\mathcal{M}$ -infinite  $f$ -low  $X$  with index  $e$  (denoted  $X_e$ ), the least index  $t$  for such an array  $H(t)$  exists by  $I\Sigma_2^0$  and is denoted  $h(e)$ .
- Given  $H(h(e))$  and  $\sigma \subset A$ , there is a Seetapun tree  $S_e$  so that  $\tau \in S_e \rightarrow \tau \subset \bigcup_s D_s$ , where  $D_s \in H(h(e))$ , and for all  $s$  there is a  $\tau \in S_e \cap A$  of length  $s$ . Let

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Let  $T = \{\sigma_s \mid s \in M\}$ . Then  $T$  satisfies the requirement.  $T$  has a unique  $\mathcal{M}$ -infinite path  $G \subset \bar{A}$ .

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- For each  $\mathcal{M}$ -infinite  $f$ -low  $X$  with index  $e$  (denoted  $X_e$ ), the least index  $t$  for such an array  $H(t)$  exists by  $I\Sigma_2^0$  and is denoted  $h(e)$ .
- Given  $H(h(e))$  and  $\sigma \subset A$ , there is a Seetapun tree  $S_e$  so that  $\tau \in S_e \rightarrow \tau \subset \bigcup_s D_s$ , where  $D_s \in H(h(e))$ , and for all  $s$  there is a  $\tau \in S_e \cap A$  of length  $s$ . Let

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# Stable 2-coloring and Hyperimmunity

- $U_e$  is  $f$ -recursive.
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- (ii) If  $U_e$  is  $\mathcal{M}$ -infinite then by the relativized Low Basis Theorem it has an infinite  $f$ -low path  $X$  for which no  $\mathcal{M}$ -finite subset  $E$  satisfies  $\Phi_e^E(e) \downarrow$ .
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Define an  $f'$ -recursive tree  $T$  as follows:

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Applying Lemma 4.3, we can select an  $\mathcal{M}$ -infinite path  $G$  such that  $\mathcal{M}[G] \models I\Sigma_2^0$ . By iterating Lemma 4.4, we obtain Theorem 4.2.

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- Since  $RT_2^2$  is equivalent to  $SRT_2^2 + COH$  over  $RCA_0$ , combining Lemmas 4.2 and 4.4 yields:  $RCA_0 + RT_2^2 + I\Sigma_2^0$  is  $\Pi_1^1$ -conservative over  $RCA_0 + I\Sigma_2^0$ .
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## **Session IV:**

*L'estremità*