

be the functorial map defined by

$$\tilde{f}_W = \alpha_n: T_n(W) = W^{\otimes n} \rightarrow T_n(W) = W^{\otimes n}$$

for  $n \geq 0$ . Then one can check that:

- 1)  $\tilde{f}$  is a functorial map of coalgebras over  $\mathbf{K}$ ;
- (1).  $\tilde{f}$  is an extension of  $f$ .

The assertion follows. □

### 8. THE FUNCTOR $A^{\min}$ OVER A FIELD OF CHARACTERISTIC $p > 0$

In this section, the ground field  $\mathbf{k}$  is of characteristic  $p > 0$ .

#### 8.1. An upper bound on the size of $A^{\min}(V)$ .

**Lemma 8.1.** *Let  $m > 1$  such that  $(m, p) = 1$ . Suppose that the polynomial*

$$x^m - 1$$

*splits in  $\mathbf{k}[x]$ . Then there exists a functorial map of coalgebras*

$$\phi_V: T(V) \rightarrow T(V)$$

*for any  $\mathbf{k}$ -module  $V$  such that:*

- (1).  $\phi_V \circ \phi_V = \phi_V: T(V) \rightarrow T(V)$ ;
- (2). *Let  $\alpha \in P(T_n(V))$  be a primitive element of tensor length  $n$ . Then*

$$\phi_V(\alpha) = \begin{cases} \alpha & \text{if } n = m, 2m, 3m, \dots ; \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Let  $\zeta \in \mathbf{k}$  be a primitive  $m$ th root of 1. Let

$$T(\zeta): T(V) \rightarrow T(V)$$

be the algebra map determined by

$$T(\zeta)(v) = \zeta v$$

for  $x \in V$ . Then  $T(\zeta): T(V) \rightarrow T(V)$  is a functorial map of Hopf algebras. Let  $\chi: T(V) \rightarrow T(V)$  be the conjugation and let

$$f_V = T(\zeta) * \chi: T(V) \rightarrow T(V)$$

be the convolution product. Then  $f_V: T(V) \rightarrow T(V)$  is a functorial map of coalgebras.

Let  $\alpha \in P(T_n(V))$  be a primitive element of tensor length  $n$ . Then

$$f_V(\alpha) = (\zeta^n - 1)\alpha.$$

Let  $D(V)$  be the colimit

$$D(V) = \operatorname{colim}_{f_V} T(V).$$

Let  $r_V: T(V) \rightarrow D(V)$  be the canonical map to the colimit. By Theorem 4.5,  $D(V)$  is a natural coalgebra retract of  $T(V)$  and the functorial map  $r_V$  is a coalgebra retraction. Notice that  $\zeta^n - 1 = 0$  if and only if  $n = mt$  for some  $t \geq 0$ . Thus

$$r_V: P(T_n(V)) \rightarrow P(D_n(V))$$

is an isomorphism if  $n$  is not divisible by  $m$  and is zero if  $n$  is divisible by  $m$ .

By Lemma 5.2, there is a natural coalgebra decomposition

$$T(V) \cong B(V) \otimes D(V).$$

Let  $r'_V: T(V) \rightarrow B(V)$  be a functorial coalgebra retraction and let  $j_V: B(V) \rightarrow T(V)$  be the coalgebra injection. Let  $\phi_V: T(V) \rightarrow T(V)$  be the composite

$$T(V) \xrightarrow{r'_V} B(V) \xrightarrow{j_V} T(V).$$

Then  $\phi_V$  is an idempotent functorial coalgebra map. Let  $\alpha \in P(T_n(V))$  be a primitive element of tensor length  $n$ . Notice that

$$P(T_n(V)) \cong P(B_n(V))$$

if  $n$  is divisible by  $m$  and

$$P(B_n(V)) = 0$$

if  $n$  is not divisible by  $m$ . The assertion follows.  $\square$

**Corollary 8.2.** *Let  $m > 1$  such that  $(m, p) = 1$ . Suppose that the*

$$x^m - 1$$

*splits in  $\mathbf{k}[x]$ . Let  $V$  be any  $\mathbf{k}$ -module. Then in tensor length  $mk$  we have*

$$P(A_{mk}^{\min}(V)) = 0$$

*for  $k \geq 1$ .*

*Proof.* By Lemma 8.1, one has a natural coalgebra decomposition

$$T(V) \cong B(V) \otimes D(V)$$

such that  $P(D_{mk}(V)) = 0$  for  $k \geq 1$ . Notice that there is a functorial inclusion

$$V \subseteq D(V).$$

Thus  $A^{\min}(V)$  is a natural coalgebra retract of  $D(V)$  by Theorem 4.12. The assertion follows.  $\square$

**Theorem 8.3.** *If the ground field  $\mathbf{k}$  is of characteristic  $p$ , then*

$$P(A_n^{\min}(V)) = 0$$

*if  $n$  is not a power of  $p$ .*

*Proof.* Let  $m$  be a positive integer with  $m > 1$  and  $(m, p) = 1$ . It suffices to show the following statement:

**Statement:**  $P(A_{mk}^{\min}(V)) = 0$  for  $k \geq 1$ .

The proof of this statement will be given by induction on  $k$ . Let  $k = 1$ . There is a natural coalgebra decomposition

$$T(V) \cong T(L_m(V)) \otimes D(V)$$

for some natural subcoalgebra  $D(V) \subseteq T(V)$ . (See Corollary 6.7 or [9].) Notice that there is a functorial inclusion  $V \subseteq D(V)$ . Thus  $A^{\min}(V)$  is a natural coalgebra retract of  $D(V)$ . Observe that the primitive submodule  $P(T(V))$  is the free restricted Lie algebra  $L^{\text{res}}(V)$ . Thus  $P(T_m(V)) = L_m(V)$  and so  $P(D_m(V)) = 0$ . Therefore  $P(A_m^{\min}(V)) = 0$ .

Now suppose that

$$P(A_{mt}^{\min}(V)) = 0$$

for  $t < k$  with  $k > 1$  and let  $n = mk$ .

**Goal 1:** *The overall plan is to construct a natural coalgebra retract  $\overline{\overline{D}}(V)$  such that  $V \subseteq \overline{\overline{D}}(V)$  but  $P(\overline{\overline{D}}_{mk}(V)) = 0$ .*

By Theorem 6.5, there is a natural coalgebra decomposition

$$T(V) \cong \otimes_{j=2}^{\infty} A^{\min}(V; L_j^{\max}) \otimes A^{\min}(V).$$

Thus there is a functorial isomorphism of  $\mathbf{k}$ -modules

$$P(T(V)) \cong \oplus_{j=2}^{\infty} P(A^{\min}(V; L_j^{\max})) \oplus P(A^{\min}(V)).$$

Let

$$B(V) = \otimes_{j=2}^{n-1} A^{\min}(V; L_j^{\max})$$

be the partial tensor products in the natural decomposition for  $T(V)$ . By induction,  $P(A_{mt}^{\min}(V)) = 0$  for  $t < k$ . Notice that  $P(A_{mt}^{\min}(V; L_j^{\max})) = 0$  for  $j \geq n = mk > mt$  by Corollary 6.4. Thus

$$P(T_{mt}(V)) \cong P(B_{mt}(V))$$

for  $t < k$ . Let  $r_V: T(V) \rightarrow B(V)$  be a functorial coalgebra retraction, let  $j_V: B(V) \rightarrow T(V)$  be a functorial coalgebra injection and let  $f_V$  denote the composite

$$f_V = j_V \circ r_V: T(V) \rightarrow T(V).$$

Then  $f_V: T(V) \rightarrow T(V)$  is an idempotent functorial coalgebra map with a functorial isomorphism

$$\mathrm{Im}(f_V: T(V) \rightarrow T(V)) \cong B(V).$$

**Goal 2:** *The goal in the following is to change the ground field to one containing the  $m$ th roots of 1.*

Let  $\mathbf{K}$  be an extension field of the ground field  $\mathbf{k}$  such that the polynomial

$$x^m - 1$$

splits in  $\mathbf{K}[x]$ . By Lemma 7.8, there exists a functorial map of  $\mathbf{K}$ -coalgebras

$$\tilde{f}_W: T(W) \rightarrow T(W)$$

such that  $\tilde{f}$  is an extension of  $f$ . Let  $\tilde{B}(W)$  denote the colimit

$$\tilde{B}(W) = \mathrm{colim}_{\tilde{f}_W} T(W).$$

By Lemmas 5.2, 5.3 and Theorem 4.5, there is a natural  $\mathbf{K}$ -coalgebra decomposition

$$T(W) \cong \tilde{B}(W) \otimes_{\mathbf{K}} D(W)$$

for any finite dimensional  $\mathbf{K}$ -module  $W$  and so for any  $\mathbf{K}$ -module  $W$ , where  $D(W)$  is given by the cotensor product

$$D(W) = \mathbf{K} \square_{\tilde{B}(W)} T(W).$$

Let  $A^{\min, \mathbf{K}}$  denote the functor  $A^{\min}$  over the extension field  $\mathbf{K}$ . Notice that there is a natural inclusion of  $\mathbf{K}$ -modules

$$W \subseteq D(W).$$

Thus  $A^{\min, \mathbf{K}}(W)$  is a natural coalgebra retract of  $D(W)$  and so one has a further natural  $\mathbf{K}$ -coalgebra decomposition

$$T(W) \cong \tilde{B}(W) \otimes D'(W) \otimes A^{\min, \mathbf{K}}(W)$$

for any  $\mathbf{K}$ -module  $W$ , where  $D'(W)$  is given by the cotensor product

$$D'(W) = \mathbf{K} \square_{A^{\min, \mathbf{K}}(W)} D(W).$$

**Goal 3:** *The goal in the following is to give a further decomposition of  $D'(W)$  by using Lemma 8.1.*

Let  $r'_W: T(W) \rightarrow D'(W)$  be a functorial  $\mathbf{K}$ -coalgebra retraction and let  $j'_W: D'(W) \rightarrow T(W)$  be a functorial  $\mathbf{K}$ -coalgebra injection. By Lemma 8.1, there exists an idempotent functorial map of  $\mathbf{K}$ -coalgebras

$$\phi_W: T(W) \rightarrow T(W)$$

such that the induced map on primitive elements

$$\phi_W: P(T_n(W)) \rightarrow P(T_n(W))$$

is the identity if  $n = mt$  for some  $t \geq 1$  and is zero if  $n$  is not divisible by  $m$ . Let the map  $\phi'_W: T(W) \rightarrow T(W)$  denote the composite

$$T(W) \xrightarrow{r'_W} D'(W) \xrightarrow{j'_W} T(W) \xrightarrow{\phi_W} T(W).$$

Let  $D''(W)$  denote the colimit

$$D''(W) = \operatorname{colim}_{\phi'_W} T(W).$$

Then  $D''(W)$  is a natural  $\mathbf{K}$ -coalgebra retract of  $D'(W)$ . By Lemma 5.2, there is a natural  $\mathbf{K}$ -coalgebra decomposition

$$D'(W) \cong D''(W) \otimes D'''(W).$$

Notice that by Lemma 5.3

$$D''(W) \cong \mathbf{K} \square_{D'''(W)} D'(W)$$

for any finite dimensional  $\mathbf{K}$ -module  $W$  and so for any  $\mathbf{K}$ -module  $W$ . Thus we may view  $D''(W) = \mathbf{K} \square_{D'''(W)} D'(W)$  as a subcoalgebra of

$$D'(W) \subseteq D(W) \subseteq T(W).$$

Consider the further natural  $\mathbf{K}$ -coalgebra decomposition

$$T(W) \cong \tilde{B}(W) \otimes D''(W) \otimes D'''(W) \otimes A^{\min, \mathbf{K}}(W).$$

There is a functorial isomorphism of  $\mathbf{K}$ -modules

$$P(T_l(W)) \cong P(\tilde{B}_l(W)) \oplus P(D''_l(W)) \oplus P(D'''_l(W)) \oplus P(A_l^{\min, \mathbf{K}}(W))$$

for any  $l \geq 1$ .

**Goal 4:** *The goal in the following is to show that  $D''_j(W) = 0$  for  $0 < j < mk$  and that  $D''_{mk}(W)$  is a functorial  $\mathbf{K}$ -submodule of  $L_{mk}^{\mathbf{K}}(W)$ , where we write  $L^{\mathbf{K}}$  for the free Lie algebra functor over the field  $\mathbf{K}$ .*

Now we determine the primitive elements  $P(D''_l(W))$  up to tensor length  $n = mk$ . Let  $\{x_i\}$  be a  $\mathbf{K}$ -basis for  $W$  and let  $W'$  be the  $\mathbf{k}$ -module generated by  $\{x_i\}$ . Then there is an isomorphism of  $\mathbf{K}$ -modules

$$\mathbf{K} \otimes_{\mathbf{k}} W' \cong W.$$

As noted earlier, there is an isomorphism of  $\mathbf{k}$ -modules

$$P(T_{mt}(W')) \cong P(B_{mt}(W'))$$

for  $t < k$  and so, by Lemma 7.8, there is an isomorphism of  $\mathbf{K}$ -modules

$$P(T_{mt}(\mathbf{K} \otimes_{\mathbf{k}} W')) \cong P(\tilde{B}_{mt}(\mathbf{K} \otimes_{\mathbf{k}} W')) = \mathbf{K} \otimes_{\mathbf{k}} P(B_{mt}(W'))$$

for  $t < k$ . In particular there is an isomorphism of  $\mathbf{K}$ -modules

$$P(T_{mt}(W)) \cong P(\tilde{B}_{mt}(W))$$

for  $t < k$  and so

$$P(D''_{mt}(W)) \subseteq P(D''_{mt}(W)) \oplus P(D'''_{mt}(W)) \oplus P(A_{mt}^{\min, \mathbf{K}}(W)) = 0$$

for  $t < k$ . Notice that  $D''(W)$  is a natural  $\mathbf{K}$ -coalgebra retract of

$$\text{Im}(\phi_W: T(W) \rightarrow T(W)) \cong \text{colim}_{\phi_W} T(W).$$

Thus

$$P(D''_l(W)) \subseteq \text{colim}_{\phi_W} P(T_l(W)) = 0$$

if  $l$  is not divisible by  $m$ . Therefore

$$P(D''_j(W)) = 0$$

for  $j < mk$ . Thus

$$D''_j(W) = 0$$

for  $0 < j < mk$  and so one has

$$D''_{mk}(W) = P(D''_{mk}(W)).$$

Notice that the map

$$\phi_W: P(T_{mk}(W)) \rightarrow P(T_{mk}(W))$$

is the identity map. Thus there is a functorial isomorphism of  $\mathbf{K}$ -modules

$$P(D'_{mk}(W)) \cong P(D''_{mk}(W)) = D''_{mk}(W)$$

and so

$$P(D'''_{mk}(W)) = 0$$

for any  $\mathbf{K}$ -module  $W$ . By Corollary 8.2, one has

$$P(A_{mk}^{\min, \mathbf{K}}(W)) = 0$$

for any  $\mathbf{K}$ -module  $W$ . Thus there are functorial isomorphisms of  $\mathbf{K}$ -modules

$$P(T_{mk}(W)) \cong P(\tilde{B}_{mk}(W)) \oplus P(D''_{mk}(W)) = P(\tilde{B}_{mk}(W)) \oplus D''_{mk}(W);$$

$$P(D_{mk}(W)) = P(D'_{mk}(W)) = P(D''_{mk}(W)) = D''_{mk}(W).$$

Let  $r''_W: T(W) \rightarrow D''(W)$  be a functorial  $\mathbf{K}$ -coalgebra retraction, let  $j''_W: D''(W) \rightarrow T(W)$  be a functorial  $\mathbf{K}$ -coalgebra inclusion and let  $f''_W$  denote the composite

$$f''_W = j''_W \circ r''_W: T(W) \rightarrow T(W).$$

Notice that  $f''_W$  is a functorial map of  $\mathbf{K}$ -coalgebras with

$$f''_W = 0: T_j(W) \rightarrow T_j(W)$$

for  $0 < j < mk$ . By Corollary 2.9, there exists an element  $\lambda \in \mathbf{K}(S_{mk})$  such that

$$f''_W|_{T_{mk}(W)} = \beta_{mk} \circ \lambda: T_{mk}(W) = W^{\otimes mk} \rightarrow T_{mk}(W) = W^{\otimes mk}.$$

Thus  $D''_{mk}(W)$  is a functorial  $\mathbf{K}$ -submodule of  $L_{mk}^{\mathbf{K}}(W)$  for any  $\mathbf{K}$ -module  $W$ , where we write  $L^{\mathbf{K}}$  for the free Lie algebra functor over the field  $\mathbf{K}$ .

**Goal 5:** *The goal in the following is to construct the functor  $\bar{D}$  by using information about the functor  $D''$  and to finish the proof.*

Now let  $V$  be any  $\mathbf{k}$ -module. Let  $\bar{D}(V)$  denote the cotensor product

$$\bar{D}(V) = \mathbf{k} \square_{B(V)} T(V).$$

Let  $W = \mathbf{K} \otimes_{\mathbf{k}} V$ . Then

$$D(W) = \mathbf{K} \otimes_{\mathbf{k}} \bar{D}(V).$$

Thus  $D''(W)$  is a subcoalgebra of  $\mathbf{K} \otimes \bar{D}(V)$ . Recall that

$$D''_{mk}(W) = P(D_{mk}(W)).$$

Thus

$$D''_{mk}(W) = \mathbf{K} \otimes_{\mathbf{k}} P(\bar{D}_{mk}(V)) \subseteq \mathbf{K} \otimes_{\mathbf{k}} L_{mk}^{\text{res}}(V).$$

Recall that

$$D''_{mk}(W) \subseteq L_{mk}^{\mathbf{K}}(W) = \mathbf{K} \otimes_{\mathbf{k}} L_{mk}(V).$$

Thus there are no restricted elements in  $P(\bar{D}_{mk}(V))$  or equivalently

$$P(\bar{D}_{mk}(V)) \subseteq L_{mk}(V).$$

Let  $\gamma(P(\bar{D}_{mk}))$  be the associated  $\mathbf{k}(S_{mk})$ -module of the functor  $P(\bar{D}_{mk})$ . (See Proposition 7.6.) Notice that  $D''_{mk}(W)$  is a functorial retract of  $W^{\otimes n}$  for any  $\mathbf{K}$ -module  $W$ . Thus

$$\mathbf{K} \otimes_{\mathbf{k}} \gamma(P(\bar{D}_{mk})) = \gamma(D''_{mk})$$

is a projective  $\mathbf{K}(S_{mk})$ -module. By Corollary 7.7, there exists an element  $\bar{\lambda} \in \mathbf{k}(S_{mk})$  such that

- (1).  $\beta_{mk} \circ \bar{\lambda} \circ \beta_{mk} \circ \bar{\lambda} = \beta_{mk} \circ \bar{\lambda}: V^{\otimes mk} \rightarrow V^{\otimes mk}$  for any  $\mathbf{k}$ -module  $V$ ;
- (2). there is a functorial isomorphism of  $\mathbf{k}$ -modules

$$P(\bar{D}_{mk}(V)) = \text{Im}(\beta_{mk} \circ \bar{\lambda}: V^{\otimes mk} \rightarrow V^{\otimes mk}) \cong \text{colim}_{\beta_{mk} \circ \bar{\lambda}} V^{\otimes mk}$$

for any  $\mathbf{k}$ -module  $V$ .

We write  $\bar{L}_{mk}$  for  $P(\bar{D}_{mk})$ . Then  $A^{\min}(V; \bar{L}_{mk})$  is a natural coalgebra retract of  $\bar{D}(V)$  and so there is a natural decomposition of connected graded coalgebras

$$\bar{D}(V) \cong A^{\min}(V; \bar{L}_{mk}) \otimes \overline{\bar{D}}(V).$$

By Lemma 6.2,  $\bar{L}_{mk}(V)$  is a natural retract of  $L_{mk}^{\max}(V)$  and so  $A^{\min}(V; \bar{L}_{mk})$  is a natural coalgebra retract of  $A^{\min}(V; L_{mk}^{\max})$ . By Corollary 6.4, we have

$$A_j^{\min}(V; \bar{L}_{mk}) = 0$$

for  $0 < j < mk$ , using Proposition 6.1 and Lemma 6.3. Thus there is a functorial inclusion  $V \subseteq \overline{\bar{D}}(V)$  and so  $A^{\min}(V)$  is a functorial retract of  $\overline{\bar{D}}(V)$ . Notice that

$$P(\bar{D}_{mk}(V)) = \bar{L}_{mk}(V) = P(A_{mk}^{\min}(V; \bar{L}_{mk})).$$

Thus  $P(\overline{\bar{D}}_{mk}(V)) = 0$  and so

$$P(A_{mk}^{\min}(V)) = 0$$

for any  $\mathbf{k}$ -module  $V$ . The induction is finished and the assertion follows.  $\square$

Recall that there is a functorial subHopf algebra  $B^{\max}(V)$  such that there is a natural coalgebra decomposition  $T(V) \cong B^{\max}(V) \otimes A^{\min}(V)$ . (See Proposition 6.1.)

**Corollary 8.4.** *If  $n$  is not a power of  $p$ , then*

$$L_n(V) \subseteq B^{\max}(V).$$

**Corollary 8.5.**  *$A^{\min}(V)$  is a natural coalgebra retract of the quotient of  $T(V)$  modulo the right ideal generated by  $\{L_n(V) \mid n \text{ not power of } p\}$ .*

This gives an upper bound on the size of  $A^{\min}$ .

## 8.2. Some general theorems on natural coalgebra retracts of $T(V)$ .

**Theorem 8.6.** *Let  $B(V)$  be a functorial sub coalgebra of  $T(V)$ . Suppose that*

- 1) *There is a functorial multiplication  $B(V) \otimes B(V) \rightarrow B(V)$  such that  $B(V)$  is a quasi-Hopf algebra;*
- 2)  *$B$  is a retract of  $T$  as functors from  $\mathbf{k}$ -modules to  $\mathbf{k}$ -modules.*

*Then  $B$  is a retract of  $T$  as functors from  $\mathbf{k}$ -modules to  $\mathbf{k}$ -coalgebras.*

**Remark 8.7.** *The inclusion  $B(V)$  is only assumed to be a map of coalgebras. That is the inclusion might not be a map of algebras. Also we do not assume that the multiplication on  $B(V)$  is associative.*

*Proof.* Let  $B_m(V) = B(V) \cap T_m(V)$  and let  $J_n B(V) = B(V) \cap J_n(V)$ . Then  $J_n B(V)$  is a functorial sub coalgebra of  $J_n(V)$ . Let  $r: T(V) \rightarrow B(V)$  be a  $\mathbf{k}$ -linear retraction. By Corollary 2.2,  $r|_{J_n(V)}$  maps  $J_n(V)$  onto  $J_n B(V)$  and  $J_n B$  is a retract of  $J_n$  as functors from  $\mathbf{k}$ -modules to  $\mathbf{k}$ -modules. By Lemma 2.6, there is a functorial isomorphism of Hopf algebras  $h_n: T(\bar{J}_n(V)) \rightarrow T(\bigoplus_{k=1}^n V^{\otimes k})$ , where the Hopf algebra structure on  $T(\bigoplus_{k=1}^n V^{\otimes k})$  is the one in which the elements in  $\bigoplus_{k=1}^n V^{\otimes k}$  are primitive. Let  $j_n: J_n B(V) \rightarrow J_n(V)$  be the inclusion and let  $\bar{J}_n B(V) = J_n B(V)/J_0 B(V)$ . Let  $\phi_n: T(\bar{J}_n B(V)) \rightarrow T(\bigoplus_{k=1}^n B_k(V))$  be the composite

$$T(\bar{J}_n B(V)) \xrightarrow{\subseteq} T(j_n) \xrightarrow{\cong} T(\bar{J}_n(V)) \xrightarrow{h_n} T(\bigoplus_{k=1}^n V^{\otimes k}) \xrightarrow{T(\bigoplus_{k=1}^n r|_{T_k(V)})} T(\bigoplus_{k=1}^n B_k(V)).$$

Then  $\phi_n$  is a map of Hopf algebras for each  $n$ . By considering the commutative diagram of Hopf algebras

$$\begin{array}{ccccccc} T(B_n(V)) & \xleftarrow{T(j|_{B_n(V)})} & T(V^{\otimes n}) & \xlongequal{\quad} & T(V^{\otimes n}) & \xrightarrow{T(r|_{T_n(V)})} & T(B_n(V)) \\ & \uparrow & \uparrow & & \uparrow \text{proj} & & \uparrow \text{proj} \\ T(\bar{J}_n B(V)) & \xleftarrow{\quad} & T(\bar{J}_n(V)) & \xrightarrow[\cong]{h_n} & T(\bigoplus_{k=1}^n V^{\otimes k}) & \longrightarrow & T(\bigoplus_{k=1}^n B_k(V)) \\ & \uparrow & \uparrow & & \uparrow & & \uparrow \\ T(\bar{J}_{n-1} B(V)) & \xleftarrow{\quad} & T(\bar{J}_{n-1}(V)) & \xrightarrow[\cong]{h_{n-1}} & T(\bigoplus_{k=1}^{n-1} V^{\otimes k}) & \longrightarrow & T(\bigoplus_{k=1}^{n-1} B_k(V)) \end{array}$$

we see that there is a commutative diagram of  $\mathbf{k}$ -modules

$$\begin{array}{ccc}
 B_n(V) & \xlongequal{\quad} & B_n(V) \\
 \uparrow & & \uparrow \\
 \bar{J}_n B(V) & \xrightarrow{Q(\phi_n)} & \bigoplus_{k=1}^n B_k(V) \\
 \uparrow & & \downarrow \\
 \bar{J}_{n-1} B(V) & \xrightarrow{Q(\phi_{n-1})} & \bigoplus_{k=1}^{n-1} B_k(V).
 \end{array}$$

Notice that  $Q(\phi_1): \bar{J}_1(B(V)) \rightarrow B_1(V)$  is an isomorphism. Thus  $Q(\phi_n): \bar{J}_n B(V) \rightarrow \bigoplus_{k=1}^n B_k(V)$  is an isomorphism by induction on  $n$ . It follows that  $\phi_n: T(\bar{J}_n B(V)) \rightarrow T(\bigoplus_{k=1}^n B_k(V))$  is an epimorphism for each  $V$ . Notice that  $T(\bar{J}_n B(V))$  has the same Poincaré series as  $T(\bigoplus_{k=1}^n B_k(V))$  if  $\dim(V) < \infty$ . Thus  $\phi_n$  is an isomorphism if  $\dim(V) < \infty$  and so  $\phi_n$  is an isomorphism for any  $\mathbf{k}$ -module  $V$ . Therefore the composite

$$\phi: T(\overline{B(V)}) \xrightarrow{T(j)} T(\overline{T(V)}) \xrightarrow{\cong} T\left(\bigoplus_{n=1}^{\infty} V^{\otimes n}\right) \xrightarrow{T(r)} T\left(\bigoplus_{n=1}^{\infty} B_n(V)\right)$$

is an isomorphism of Hopf algebras, where  $\overline{B(V)} = B(V)/B_0(V)$  and  $\overline{T(V)} = T(V)/T_0(V)$ .

By hypothesis  $B(V)$  is a functorial quasi-Hopf algebra. Let  $f_V: T(\overline{B(V)}) \rightarrow B(V)$  be defined by

$$f(x_1 x_2 \cdots x_n) = (\cdots (x_1 \cdot x_2) \cdots) \cdot x_n$$

for  $x_j \in \overline{B(V)} = I(B(V))$ . Then it is routine to check that  $f_V$  is a map of coalgebras. Let  $E: B(V) \rightarrow T(\overline{B(V)})$  be the canonical inclusion. Notice that the composite

$$B(V) \xrightarrow{E} T(\overline{B(V)}) \xrightarrow{\cong} T\left(\bigoplus_{n=1}^{\infty} B_n(V)\right) \xrightarrow{\cong} T(\overline{B(V)}) \xrightarrow{f_V} B(V)$$

is the identity map of  $B(V)$  and the composite  $\phi \circ E: B(V) \rightarrow T(\bigoplus_{n=1}^{\infty} B_n(V))$  factors through  $T(V)$ . Thus  $B(V)$  is a functorial coalgebra retract of  $T(V)$ , which is the assertion.  $\square$

If  $B(V)$  is a functorial sub Hopf algebra of  $T(V)$ , we will show by example that there is no **functorial** isomorphism of Hopf algebras  $T(Q(B(V))) \rightarrow B(V)$  although  $B(V)$

is isomorphic to  $T(Q(B(V)))$  as Hopf algebras for any **individual** vector space  $V$ . However, for some special functorial sub Hopf algebras of  $T(V)$ , this statement holds.

**Theorem 8.8.** *Let  $B(V)$  be a functorial sub Hopf algebra of  $T(V)$ . Suppose that  $B$  is a retract of  $T$  as functors from  $\mathbf{k}$ -modules to  $\mathbf{k}$ -modules. Then there is a functorial isomorphism of Hopf algebras*

$$T(Q(B(V))) \cong B(V).$$

Furthermore,  $B(V)$  is generated by some elements in  $L(V) \cap B(V)$ .

*Proof.* Let  $Q_n(V)$  denote  $Q(B(V))_n$ , the set of indecomposable elements of tensor length  $n$ . Notice that  $B(V)$  is primitively generated and is a tensor algebra (being a subalgebra of a tensor algebra). It suffices to show that there is a functorial cross-section from  $Q(B(V))$  to  $L(V) \cap B(V) \subseteq P(B(V))$ . This is given in assertion 1) of the following statement.

**Statement:** 1) There is a functorial submodule  $Q'_n(V) \subseteq L_n(V) \cap B(V)$  such that the composite  $Q'_n(V) \rightarrow L_n(V) \cap B(V) \xrightarrow{p_n} Q_n(V)$  is an isomorphism, where  $p_n$  is the restriction of the canonical map from  $B_n(V)$  to  $Q_n(V)$ ; and 2)  $Q_n(V)$  is a functorial retract of  $V^{\otimes n}$ .

The proof of this statement is given by induction on  $n$ . The statement holds obviously for  $n = 1$ . Suppose that the statement holds for  $k < n$  with  $n > 1$ .

Let  $j_k: Q'_k(V) \rightarrow L_k(V) \cap B(V)$  be the inclusion for  $k < n$  and let  $j: \bigoplus_{k=1}^{n-1} Q'_k(V) \rightarrow \bigoplus_{k=1}^{n-1} L_k(V) \cap B(V) \subseteq B(V)$  be the inclusion induced by  $j_k$ . Let  $T(j): T(\bigoplus_{k=1}^{n-1} Q'_k(V)) \rightarrow B(V) \subseteq T(V)$  be the map of Hopf algebras induced by the map  $j$ . Notice that  $B(V)$  is a tensor algebra and  $j: \bigoplus_{k=1}^{n-1} Q'_k(V) \rightarrow Q(B(V))$  is a monomorphism. Thus  $T(j)$  is a monomorphism. Notice that  $Q_k(V)$  is a functorial retract of  $V^{\otimes k}$  for  $k < n$  and  $Q'_k(V)$  is functorially isomorphic to  $Q_k(V)$ . Thus  $Q'_k(V)$  is a functorial retract of  $V^{\otimes k}$ . Let  $q \geq 1$  and let  $k_1, k_2, \dots, k_q$  be positive integers with  $k_j < n$ . Let  $l_1, l_2, \dots, l_q$  be positive integers. Then

$$Q_{k_1}(V)^{\otimes l_1} \otimes Q_{k_2}(V)^{\otimes l_2} \otimes \dots \otimes Q_{k_q}(V)^{\otimes l_q}$$

is a functorial retract of  $V^{\otimes k_1 l_1 + k_2 l_2 + \dots + k_q l_q}$ . Let  $m = k_1 l_1 + k_2 l_2 + \dots + k_q l_q$  and let  $\bar{V} = \langle x_1, x_2, \dots, x_m \rangle$  be an  $m$ -dimensional vector space over  $\mathbf{k}$ . Let  $\gamma_m$  be the  $\mathbf{k}$ -submodule of  $\bar{V}^{\otimes m}$  generated by the homogeneous elements  $x_{\sigma(1)} x_{\sigma(2)} \dots x_{\sigma(m)}$  for  $\sigma \in S_m$ . Then

$$(Q_{k_1}(V)^{\otimes l_1} \otimes Q_{k_2}(V)^{\otimes l_2} \otimes \dots \otimes Q_{k_q}(V)^{\otimes l_q}) \cap \gamma_m$$

is a projective  $\mathbf{k}(S_m)$ -module. Notice that

$$T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right) \cap T_m(V) = \bigoplus_{\substack{1 \leq k_1, k_2, \dots, k_q \leq n-1 \\ k_1 l_1 + k_2 l_2 + \dots + k_q l_q = m}} Q_{k_1}(V)^{\otimes l_1} \otimes Q_{k_2}(V)^{\otimes l_2} \otimes \dots \otimes Q_{k_q}(V)^{\otimes l_q}$$

for  $m \geq 1$ . Thus

$$T\left(\bigoplus_{k=1}^{n-1} Q'_k(\bar{V})\right) \cap T_m(\bar{V}) \cap \gamma_m$$

is a projective  $\mathbf{k}(S_m)$ -module. Notice that

$$T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right) \cap T_m(V) = \left(T\left(\bigoplus_{k=1}^{n-1} Q'_k(\bar{V})\right) \cap T_m(\bar{V}) \cap \gamma_m\right) \otimes_{\mathbf{k}(S_m)} V^{\otimes m}$$

for any  $\mathbf{k}$ -module  $V$ . Thus

$$T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right) \cap T_m(V)$$

is a functorial retract of  $\gamma_m \otimes_{\mathbf{k}(S_m)} V^{\otimes m}$  and so  $T\left(\bigoplus_{k=1}^{n-1} Q'_k(-)\right)$  is a retract of  $T$  as functors from modules to modules. By Theorem 8.6,  $T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right)$  is a functorial coalgebra retract of  $T(V)$  and so  $T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right)$  is a functorial coalgebra retract of  $B(V)$ . Let  $r: B(V) \rightarrow T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right)$  be a functorial coalgebra retraction and let

$$B'(V) = \mathbf{k}\square_{T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right)} B(V).$$

By Lemma 5.3, there is a functorial isomorphism of coalgebras

$$B(V) \cong T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right) \otimes B'(V).$$

Notice that  $T\left(\bigoplus_{k=1}^{n-1} Q'_k(V)\right)$  is a sub Hopf algebra of  $B(V)$  generated by the elements  $x \in B(V)$  with the tensor length  $|x| < n$ . Thus  $B'(V)_j = 0$  for  $0 < j < n$  and  $B'(V)_n \cong Q'_n(V)$ . Let  $Q'_n(V) = B'(V)_n$ . Then  $Q'_n(V) \subseteq P(B(V)) = L^{\text{res}}(V) \cap B(V)$ . Let  $i: Q'_n(V) \rightarrow V^{\otimes n}$  be the inclusion of  $Q'_n(V) \subseteq L^{\text{res}}(V) \cap B(V) \subseteq V^{\otimes n}$ . Notice that  $B'(V)$  is a functorial retract of  $T(V)$ . Thus there is a functorial map  $r': V^{\otimes n} \rightarrow Q'_n(V)$  such that  $r' \circ i: Q'_n(V) \rightarrow Q'_n(V)$  is the identity map and  $Q'_n(V)$  is given by the set of the fixed points of the idempotent map  $\phi = i \circ r': V^{\otimes n} \rightarrow V^{\otimes n}$ . Notice that  $\text{Im}(\phi: \gamma_n \rightarrow \gamma_n) \subseteq L_n^{\text{res}}(\bar{V}) \cap \gamma_n = \text{Lie}(n)$ . Thus  $\phi(x_1 \cdots x_n) \in L_n(\bar{V})$  and so  $\phi(a_1 \cdots a_n) \in L_n(V)$  for any  $\mathbf{k}$ -module  $V$  and any elements  $a_j \in V$ . Therefore  $Q'_n(V) \subseteq L_n(V) \cap B(V)$ . The induction is finished and the assertion follows.  $\square$

Let  $Q_n^{\max}(V)$  denote  $Q(B^{\max}(V))$  the set of indecomposable elements of  $B^{\max}(V)$  with tensor length  $n$ .

**Corollary 8.9.** *The following statements hold:*

- 1)  $Q_1^{\max}(V) = 0$ ;
- 2)  $Q_n^{\max}$  is a retract of  $L_n$  as functors from modules to modules for each  $n \geq 2$ ;
- 3)  $Q_n^{\max}(V)$  is a functorial retract of  $V^{\otimes n}$  for each  $n \geq 2$ ;
- 4) there is a functorial isomorphism of Hopf algebras

$$T\left(\bigoplus_{n=2}^{\infty} Q_n^{\max}(V)\right) \cong B^{\max}(V).$$

A relation between the functor  $Q^{\max}$  and the functor  $A^{\min}$  is as follows.

**Proposition 8.10.** *There is a functorial isomorphism of  $\mathbf{k}$ -modules*

$$A_{n-1}^{\min}(V) \otimes V \cong Q_n^{\max}(V) \oplus A_n^{\min}(V).$$

for each  $n \geq 1$ .

*Proof.* Let  $\tilde{A}^{\min}(V) = \mathbf{k} \otimes_{B^{\max}(V)} T(V)$ . Then  $\tilde{A}^{\min}(V)$  is functorially isomorphic to  $A^{\min}(V)$  as a coalgebra. Let  $p: T(V) \rightarrow \tilde{A}^{\min}(V)$  be the projection and let  $I^{\max}(V)$  be the kernel of  $p$ . Notice that  $I^{\max}(V)$  is a right ideal of  $T(V)$  and  $\tilde{A}^{\min}(V)$  is a right  $T(V)$ -module. Let  $I\tilde{A}^{\min}(V)$  be the kernel of the augmentation map  $\epsilon: \tilde{A}^{\min}(V) \rightarrow \mathbf{k}$  and let  $q: T(V) \otimes V \rightarrow I\tilde{A}^{\min}(V)$  be the  $\mathbf{k}$ -linear map given by

$$q(\alpha \otimes x) = p(\alpha x) = (\alpha) \cdot x$$

for  $\alpha \in T(V)$  and  $x \in V$ , where  $\cdot$  is the right action. Then

$$q(\alpha \otimes x) = 0$$

if  $\alpha \in I^{\max}(V)$ . Consider the commutative diagram of exact sequences of  $\mathbf{k}$ -modules

$$\begin{array}{ccccc} I^{\max} \otimes V & = & I^{\max}(V) \otimes V & & \\ \downarrow \text{mult} & & \downarrow & & \\ I^{\max}(V) \hookrightarrow & T(V) \otimes V & \xrightarrow{q} & I\tilde{A}^{\min}(V) & \\ \downarrow & \downarrow p \otimes \text{id} & & \parallel & \\ K(V) \hookrightarrow & \tilde{A}^{\min}(V) \otimes V & \xrightarrow{\bar{q}} & I\tilde{A}^{\min}(V). & \end{array}$$

Then we have

$$K(V) \cong I^{\max}(V)/(I^{\max}(V) \cdot V) \cong I^{\max}(V)/(I^{\max}(V) \cdot IT(V)).$$

Notice that the canonical map  $\pi: Q^{\max}(V) = IB^{\max}(V)/(IB^{\max}(V) \cdot IB^{\max}(V)) \rightarrow I^{\max}(V)/(I^{\max}(V) \cdot IT(V))$  is an epimorphism. The formula for  $\chi(Q^{\max})$  in terms of  $\chi(A^{\min})$  and  $\chi(V)$  is determined by: 1)  $T(Q^{\max}) \cong B^{\max}$  and 2)  $T(V) \cong B^{\max} \otimes A^{\min}$ . By comparing Poincaré series, we see that the map  $\pi$  is an isomorphism if  $\dim(V) < \infty$  and so  $\pi$  is an isomorphism for any  $\mathbf{k}$ -module  $V$ . Thus  $Q^{\max}(V) \cong K(V)$  and there is a short exact sequence

$$Q_n^{\max}(V) \hookrightarrow \tilde{A}_{n-1}^{\min}(V) \otimes V \twoheadrightarrow A_n^{\min}(V).$$

Notice that the  $\mathbf{k}$ -linear map  $q: T(V) \otimes V \cong IT(V) \rightarrow IA^{\min}(V)$  has a functorial cross-section. The assertion follows.  $\square$

**Remark 8.11.** *It is the fact that  $A^{\min}(V)$  and  $\mathbf{k} \otimes_{B^{\max}(V)} T(V)$  are only functorially isomorphic as coalgebras rather than equal that prevents the filtration on  $A^{\min}$  from being a Hopf algebra filtration instead of just a coalgebra filtration.*

**8.3. A coalgebra filtration on the functor  $A^{\min}$ .** Now we give a coalgebra filtration on  $A^{\min}(V)$  which can be used to give a lower bound on its growth. The filtration will be given by inductively defining a descending natural sequence of subcoalgebras of  $A^{\min}(V)$ .

Let  $T^{(1)}(V) = T(V)$  and let  $E^{(1)}(V) = \mathbf{k}[V]$  be the polynomial algebra generated by  $V$ . Let

$$T^{(2)}(V) = \mathbf{k} \square_{E^{(1)}(V)} T^{(1)}(V).$$

Notice that  $T^{(2)}(V)$  is a normal subHopf algebra of  $T(V)$  generated by  $L_n(V)$  with  $n \geq 2$ . Thus  $B^{\max}(V) \subseteq T^{(2)}$ . Let  $A^{(2)}(V)$  be defined by

$$A^{(2)}(V) = \mathbf{k} \otimes_{B^{\max}(V)} T^{(2)}(V).$$

Suppose that we have already defined  $T^{(k)}(V)$  and  $A^{(k)}(V)$  for  $k \leq n$  and  $E^{(k)}(V)$  for  $k < n$  with the properties:

- 1)  $T^{(k)}(V)$  is a subHopf algebra of  $T(V)$  for  $1 \leq k \leq n$  and

$$B^{\max}(V) \subseteq T^{(n)}(V) \subseteq T^{(n-1)}(V) \subseteq \dots \subseteq T^{(2)}(V) \subseteq T^{(1)}(V) = T(V);$$

- 2)  $E^{(k)}(V)$  is a commutative Hopf algebra such that

$$T^{(k+1)}(V) = \mathbf{k} \square_{E^{(k)}} T^{(k)}$$

for  $k < n$ .

- 3)  $A^{(k)} = \mathbf{k} \otimes_{B^{\max}(V)} T^{(k)}(V)$ .

We need to define  $T^{(n+1)}(V)$ ,  $A^{(n+1)}(V)$  and  $E^{(n)}(V)$  for  $n \geq 2$ . Notice that  $T^{(n+1)}(V)$  and  $A^{(n+1)}(V)$  will be defined once  $E^{(n)}(V)$  is defined. Now let  $E^{(n)}(V)$  be defined to be the quotient Hopf algebra of  $T^{(n)}(V)$  modulo the two sided ideal generated by

- 1)  $B^{\max}(V)$ ;
- 2) the commutators  $[x, y]$  for  $x, y \in IT^{(n)}(V)$ , where  $IT^{(n)}(V)$  is the augmentation ideal of  $T^{(n)}(V)$ .

This gives a coalgebra filtration

$$\dots A^{(n)}(V) \subseteq A^{(n-1)}(V) \subseteq \dots \subseteq A^{(2)}(V) \subseteq A^{(1)}(V) \cong A^{\min}(V).$$

Observe that  $\bigcap_{n=1}^{\infty} A^{(n)}(V) = 0$ .

**Proposition 8.12.** *There is an equality of Poincaré series*

$$\chi(A^{\min}(V)) = \prod_{n=1}^{\infty} \chi(E^{(n)}(V)).$$

*Proof.* Notice that

$$\begin{aligned} \chi(T^{(n)}(V)) &= \chi(T^{(n+1)}(V)) \cdot \chi(E^{(n)}(V)) = \chi(B^{\max}(V)) \cdot \chi(A^{(n)}(V)); \\ \chi(T^{(n+1)}(V)) &= \chi(B^{\max}(V)) \cdot \chi(A^{(n+1)}(V)). \end{aligned}$$

Thus  $\chi(A^{(n)}(V)) = \chi(A^{(n+1)}(V)) \cdot \chi(E^{(n)}(V))$ . The assertion follows.  $\square$

Let  $L(B^{\max}(V))$  denote  $L(V) \cap B^{\max}(V)$ . Let  $L^{(1)}(V) = L(V)$  and let

$$L^{(n+1)}(V) = [L^{(n)}(V), L^{(n)}(V)] + L(B^{\max}(V))$$

be a sub Lie algebra of  $L(V)$  for  $n \geq 1$ . This gives a descending sequence of Lie algebras

$$\dots \subseteq L^{(n+1)}(V) \subseteq L^{(n)}(V) \subseteq \dots \subseteq L^{(1)}(V) = L(V).$$

Let  $UL$  denote the universal enveloping algebra of a Lie algebra  $L$ .

**Proposition 8.13.** *Let  $T^{(n)}, E^{(n)}$  and  $L^{(n)}$  be defined as above. Then*

- 1) *there is a functorial isomorphism of Hopf algebras*

$$UL^{(n)}(V) \cong T^{(n)}(V)$$

*for each  $n \geq 1$ ;*

- 2)  *$E^{(n)}(V)$  is functorially isomorphic to the polynomial algebra generated by*

$$L^{(n)}(V)/L^{(n+1)}(V) = L^{(n)}(V)/([L^{(n)}(V), L^{(n)}(V)] + L(B^{\max}(V)))$$

*for each  $n \geq 1$ .*

*Proof.* Let  $j_n: L^{(n)}(V) \rightarrow T^{(n)}(V)$  be the canonical inclusion. Notice that the composite  $L^{(n)}(V) \longrightarrow T^{(n)}(V) \longrightarrow E^{(n)}(V)$  factors through  $L^{(n)}(V)/L^{(n+1)}(V)$ . Let  $\bar{j}_n: L^{(n)}(V)/L^{(n+1)}(V) \longrightarrow E^{(n)}(V)$  be the induced map. We will show the following statements:

$\mathcal{P}_n$ ) The induced map of Hopf algebras

$$U(j_n): UL^{(n)}(V) \rightarrow T^{(n)}(V)$$

is an isomorphism for each  $n \geq 1$ .

$\bar{\mathcal{P}}_n$ ) The induced map of Hopf algebras

$$U(\bar{j}_n): U(L^{(n)}(V)/L^{(n+1)}(V)) \rightarrow E^{(n)}(V)$$

is an isomorphism for each  $n \geq 1$ .

Assertion 1) follows from statement  $\mathcal{P}_n$ . Notice that  $L^{(n)}(V)/L^{(n+1)}(V)$  is an abelian Lie algebra and so assertion 2) follows from statement  $\bar{\mathcal{P}}_n$ . The proof of statements  $\mathcal{P}_n$  and  $\bar{\mathcal{P}}_n$  are given as follows.

- 1) Statement  $\mathcal{P}_1$  holds obviously.
- 2) If statement  $\mathcal{P}_n$  holds, then statement  $\bar{\mathcal{P}}_n$  holds.
- 3) If statements  $\mathcal{P}_n$  and  $\bar{\mathcal{P}}_n$  hold, then statement  $\mathcal{P}_{n+1}$  holds.

We first show that statement  $\bar{\mathcal{P}}_n$  holds by assuming statement  $\mathcal{P}_n$ . By Corollary 8.9,  $B^{\max}(V)$  is the sub Hopf algebra of  $T(V)$  generated by  $L(B^{\max}(V))$ . By statement  $\mathcal{P}_n$ ,  $T^{(n)}(V)$  is the sub Hopf algebra of  $T(V)$  generated by  $L^{(n)}(V)$ . Thus  $E^{(n)}(V)$  is the quotient algebra of  $T^{(n)}(V)$  modulo the two sided ideal generated by  $L^{(n+1)}(V) = [L^{(n)}(V), L^{(n)}(V)] + L(B^{\max}(V))$ . The standard arguments show that statement  $\bar{\mathcal{P}}_n$  holds.

Now we show that statement  $\bar{\mathcal{P}}_{n+1}$  holds by assuming statements  $\mathcal{P}_n$  and  $\bar{\mathcal{P}}_n$ . It suffices to show statement  $\mathcal{P}_{n+1}$  for the case that  $\dim(V) < \infty$ . Consider the short exact sequence of Lie algebras

$$L^{(n+1)}(V) \hookrightarrow L^{(n)}(V) \twoheadrightarrow L^{(n)}(V)/L^{(n+1)}(V).$$

There is a short exact sequence of Hopf algebras

$$UL^{(n+1)}(V) \hookrightarrow UL^{(n)}(V) \twoheadrightarrow U(L^{(n)}(V)/L^{(n+1)}(V)).$$

(See [5, Proposition 3.7].) Statement  $\mathcal{P}_{n+1}$  follows from the following commutative diagram of Hopf algebras

$$\begin{array}{ccccc}
 UL^{(n+1)}(V) & \hookrightarrow & UL^{(n)}(V) & \twoheadrightarrow & U(L^{(n)}(V)/L^{(n+1)}(V)). \\
 \downarrow & & \downarrow & & \downarrow \\
 & & U(j_n) \cong & & U(\bar{j}_n) \cong \\
 & & \downarrow & & \downarrow \\
 T^{(n+1)}(V) & \hookrightarrow & T^{(n)}(V) & \longrightarrow & E^{(n)}(V),
 \end{array}$$

where the rows are short exact sequences of Hopf algebras. This completes the proof.  $\square$

Notice that  $A^{(n)}(V) = \mathbf{k} \otimes_{B^{\max}(V)} T^{(n)}(V)$  is a right  $T^{(n)}(V)$ -module. Let  $IA^{(n)}(V)$  be the kernel of the augmentation map  $A^{(n)}(V) \rightarrow \mathbf{k}$ . By induction and using Proposition 8.13 and Corollary 8.4, we have

**Corollary 8.14.**  *$E^{(n)}(V)$  is a polynomial algebra with indecomposable elements*

$$Q(E^{(n)}(V)) \cong IA^{(n)}(V) \otimes_{T^{(n)}(V)} \mathbf{k}.$$

Furthermore  $Q(E^{(n)}(V))_j = 0$  for  $j < p^{n-1}$ .

**Proposition 8.15.** *If  $\text{char}(\mathbf{k}) > 2$ , then  $T^{(n+1)}(V)$  is the normal sub Hopf algebra of  $T^{(n)}(V)$  generated by  $B^{\max}(V)$ .*

*Proof.* Let  $B'$  be the normal sub Hopf algebra of  $T^{(n)}$  generated by  $B^{\max}(V)$ . Then  $B' \subseteq T^{(n+1)}(V)$ . By Proposition 8.13, it suffices to show that  $L^{(n+1)}(V) \subseteq L(B') = B' \cap L(V)$ . Notice that  $L^{(n+1)}(V) = [L^{(n)}(V), L^{(n)}(V)] + L(B^{\max}(V))$ . If  $q$  is not a power of  $p = \text{char}(\mathbf{k})$ , then  $L(B^{\max}(V))_q = L_q(V)$  by Corollary 8.4. Notice that  $[L^{(n)}(V), L^{(n)}(V)]$  is a two sided Lie ideal generated by the elements  $[\alpha, \beta]$  for  $\alpha, \beta \in L^{(n)}(V)$ . Also notice that  $L(B')$  is a two sided Lie ideal of  $L(T^{(n)}(V)) = T^{(n)}(V) \cap L(V)$ . It follows that  $[L^{(n)}(V), L^{(n)}(V)]_q \subseteq L(B')$  for any  $q$ . The assertion follows.  $\square$

**8.4. A lower bound on the growth of  $A^{\min}(V)$ .** Now we give a lower bound for the functor  $A^{\min}$  by determining  $E^{(2)}(V)$ . We need some preliminaries to determine  $E^{(2)}(V)$ .

Let  $S_n$  act on  $\mathbf{k}^{\oplus n}$  by permuting the basis  $\{y_1, y_2, \dots, y_n\}$  and let  $I(\mathbf{k}^{\oplus n})$  be the kernel of the augmentation map  $\epsilon: \mathbf{k}^{\oplus n} \rightarrow \mathbf{k}$  given by  $\epsilon(y_j) = 1$  for  $1 \leq j \leq n$ . Then  $I(\mathbf{k}^{\oplus n})$  is a sub  $(\mathbf{S}_n)$ -module of  $\mathbf{k}^{\oplus n}$ . Let  $Q(T^{(2)})_n$  be the set of indecomposable elements of  $T^{(2)}(V)$  which have tensor length  $n$  and let  $S_n$  act on  $V^{\otimes n}$  by permuting factors.

**Proposition 8.16.** *There is a functorial isomorphism of  $\mathbf{k}$ -modules*

$$Q(T^{(2)}(V))_n \cong I(\mathbf{k}^{\oplus n}) \otimes_{\mathbf{k}(S_n)} V^{\otimes n}$$

for any  $\mathbf{k}$ -module  $V$ .

*Proof.* By the proof of Lemma 3.13 in [5]. There is a functorial short exact sequence of  $\mathbf{k}$ -modules

$$Q(T^{(2)}) \hookrightarrow \mathbf{k}[V] \otimes V \xrightarrow{\text{mult}} I(\mathbf{k}[V]),$$

where  $\mathbf{k}[V]$  is the polynomial algebra generated by  $V$ .

Let  $\bar{V} = \langle x_1, \dots, x_n \rangle$  be an  $n$ -dimensional vector space over  $\mathbf{k}$  and let  $\gamma_n$  be as defined earlier. Let  $M_n$  be the quotient  $\mathbf{k}$ -module of  $\gamma_n$  modulo the sub module generated by

$$x_{\sigma(1)}x_{\sigma(2)} \cdots x_{\sigma(i-1)}x_{\sigma(i+1)} \cdots x_{\sigma(n)}x_i - x_1x_2 \cdots \hat{x}_i \cdots x_nx_i$$

for  $1 \leq i \leq n$  and  $\sigma \in S_{n-1}$  acting on  $\{1, 2, \dots, \hat{i}, \dots, n\}$ . Then  $M_n$  is a quotient  $\mathbf{k}(S_n)$ -module of  $\gamma_n$ . Let  $q: \gamma_n \rightarrow M_n$  be the quotient map and let  $y_i = q(x_1 \cdots \hat{x}_i \cdots x_nx_i) \in M_n$  for  $1 \leq i \leq n$ . Then  $\{y_1, y_2, \dots, y_n\}$  is a  $\mathbf{k}$ -basis for  $M_n$  and there is an isomorphism of  $\mathbf{k}(S_n)$ -modules

$$M_n \cong \mathbf{k}^{\oplus n}.$$

Let  $\epsilon_n: V^{\otimes n} \rightarrow \mathbf{k}[V]_n$  be the canonical quotient map. Then there is a commutative diagram

$$\begin{array}{ccccc} V^{\otimes n} & \xrightarrow{\epsilon_{n-1} \otimes \text{id}} & \mathbf{k}[V]_{n-1} \otimes V & \xrightarrow{\text{mult}} & \mathbf{k}[V]_n \\ \uparrow \cong & & \uparrow & & \uparrow \cong \\ \gamma_n \otimes_{\mathbf{k}(S_n)} V^{\otimes n} & \longrightarrow & M_n \otimes_{\mathbf{k}(S_n)} V^{\otimes n} & \xrightarrow{\epsilon \otimes \text{id}} & \mathbf{k} \otimes_{\mathbf{k}(S_n)} V^{\otimes n}, \end{array}$$

where  $\epsilon: M_n \rightarrow \mathbf{k}$  is given by  $\epsilon(y_j) = 1$ . Thus  $M_n \otimes_{\mathbf{k}(S_n)} V^{\otimes n} \rightarrow \mathbf{k}[V]_{n-1} \otimes V$  is an epimorphism. Notice that  $\dim(M_n \otimes_{\mathbf{k}(S_n)} V^{\otimes n}) \leq \dim(\mathbf{k}[V]_{n-1} \otimes V)$ . Thus  $M_n \otimes_{\mathbf{k}(S_n)} V^{\otimes n} \rightarrow \mathbf{k}[V]_{n-1} \otimes V$  is a (functorial) isomorphism. Consider the commutative diagram

$$\begin{array}{ccccc} Q(T^{(2)}(V))_n & \hookrightarrow & \mathbf{k}[V]_{n-1} \otimes V & \longrightarrow & \mathbf{k}[V]_n \\ \uparrow & & \uparrow \cong & & \uparrow \cong \\ I(M_n) \otimes_{\mathbf{k}(S_n)} V^{\otimes n} & \longrightarrow & M_n \otimes_{\mathbf{k}(S_n)} V^{\otimes n} & \longrightarrow & \mathbf{k} \otimes_{\mathbf{k}(S_n)} V^{\otimes n}, \end{array}$$

where the top row is a short exact sequence. Thus  $I(M_n) \otimes_{\mathbf{k}(S_n)} V^{\otimes n} \rightarrow Q(T^{(2)}(V))$  is an epimorphism. Notice that  $\dim(I(M_n) \otimes_{\mathbf{k}(S_n)} V^{\otimes n}) \leq \dim(Q(T^{(2)}(V)))$ . Thus  $I(M_n) \otimes_{\mathbf{k}(S_n)} V^{\otimes n} \rightarrow Q(T^{(2)}(V))$  is a functorial isomorphism if  $\dim(V) < \infty$  and it is an isomorphism for any  $V$ , which is the assertion.  $\square$

Let  $f_V: T(V) \rightarrow T(V)$  be a functorial map of coalgebras. Let  $\alpha(f)_n \in \mathbf{k}(S_n)$  be the sequence of elements such that  $\alpha(f)_n = f_V|_{T_n(V)}: T_n(V) = V^{\otimes n} \rightarrow T_n(V) = V^{\otimes n}$ . (See section 7.) Let  $\langle \cdot, \cdot \rangle$  denote the canonical inner product in  $\mathbf{k}(S_n)$ . Notice that

$$\alpha = \sum_{\sigma \in S_n} \langle \alpha, \sigma \rangle \sigma$$

for any  $\alpha \in \mathbf{k}(S_n)$ .

**Lemma 8.17.** *Let  $f_V: T(V) \rightarrow T(V)$  be a functorial map of coalgebras such that  $(f_V)|_V: V \rightarrow V$  is the identity map for any  $V$ . Then*

$$\sum_{\sigma \in S_n} \langle \alpha(f)_n, \sigma \rangle = 1$$

for each  $n \geq 1$ .

*Proof.* Let  $\bar{V}$  be the  $n$ -dimensional  $\mathbf{k}$ -module generated by  $\{x_1, \dots, x_n\}$ . Let  $q_1: T(V) \rightarrow T(V)$  be the projection map defined by

$$q_1(\alpha) = \begin{cases} \alpha & \text{if } \alpha \in V = T_1(V); \\ 0 & \text{if } \alpha \in T_n(V), n \neq 1. \end{cases}$$

Then one has

$$q_1 \circ f_V = q_1: T(\bar{V}) \rightarrow T(\bar{V}).$$

By hypothesis  $f$  is a map of coalgebras. Thus one has

$$q_1^{*n} \circ f = q_1^{*n}: T(\bar{V}) \rightarrow T(\bar{V}),$$

where  $q_1^{*n}$  is the  $n$ -fold self convolution product of  $q_1$ . Notice that

$$q_1^{*n}(x_1 \cdots x_n) = \sum_{\sigma \in S_n} x_{\sigma(1)} \cdots x_{\sigma(n)}$$

and

$$\begin{aligned} q_1^{*n} \circ f(x_1 \cdots x_n) &= \sum_{\sigma \in S_n} \langle \alpha(f)_n, \sigma \rangle q_1^{*n}(x_{\sigma(1)} \cdots x_{\sigma(n)}) \\ &= \left( \sum_{\sigma \in S_n} \langle \alpha(f)_n, \sigma \rangle \right) \sum_{\tau \in S_n} x_{\tau(1)} \cdots x_{\tau(n)}. \end{aligned}$$

The assertion follows.  $\square$

**Corollary 8.18.** *Let  $f_V: T(V) \rightarrow T(V)$  be a natural map of coalgebras such that  $(f_V)|_V: V \rightarrow V$  is the identity map for any  $V$ . Then*

- (1).  $f|_{T_n(V)}(x^n) = x^n$  for any  $x \in V$  and  $n \geq 1$ ;
- (2).

$$f|_{T_n(V)}\left(\sum_{\sigma \in S_n} x_{\sigma(1)} \cdots x_{\sigma(n)}\right) = \sum_{\sigma \in S_n} x_{\sigma(1)} \cdots x_{\sigma(n)}$$

for any  $x_1, \dots, x_n \in V$  and  $n \geq 1$ .

**Lemma 8.19.** *Let  $f_V: T(V) \rightarrow T(V)$  be a natural map of coalgebras such that  $(f_V)|_V: V \rightarrow V$  is the identity map for any  $V$  and let  $x, y \in V$ . Then*

$$f|_{T_{p^r}(V)}(ad^{p^r-1}(y)(x)) = ad^{p^r-1}(y)(x)$$

for any  $r \geq 1$ .

*Proof.* We may assume that  $V$  is a two dimensional  $\mathbf{k}$ -module generated by  $x$  and  $y$ . The assertion follows from the formula:

$$(x + y)^{p^r} = y^{p^r} + ad^{p^r-1}(y)(x) + \Delta,$$

where  $\Delta$  is a linear combination of the homogeneous elements in which  $x$  appears at least twice. (See [16].)  $\square$

Let  $M_1, \dots, M_k$  be submodules of  $T(V)$ . We write  $\langle M_1, \dots, M_k \rangle$  for the subHopf algebra of  $T(V)$  generated by  $M_1, \dots, M_k$ . Given  $n > 1$ , let  $D^n(V)$  denote

$$\mathbf{k} \otimes_{\langle B^{max}(V), L_2, \dots, L_{n-1} \rangle} T(V),$$

where  $L_j = L_j(V)$ . Let  $\alpha \in T(V)$ . We write  $\{\alpha\}$  for the image of  $\alpha$  in  $D^n(V)$ .

**Lemma 8.20.** *Given  $t \geq 1$ , let  $\bar{V}$  be the  $p^t$ -dimensional  $\mathbf{k}$ -module generated by  $\{x_1, \dots, x_{p^t}\}$ . Then the elements*

$$y_j = \{x_1 \cdots \hat{x}_j \cdots x_{p^t} x_j\}$$

with  $1 \leq j \leq p^t$  are linearly independent in  $D^{p^t}(\bar{V})$ .

*Proof.* Suppose that  $y_1, y_2, \dots, y_{p^t}$  are linearly dependent in  $D^{p^t}(\bar{V})$ . There exists  $1 \leq i \leq p^t$  such that

$$y_i = \sum_{j \neq i} k_j y_j$$

for some  $k_j \in \mathbf{k}$ . Let  $j \neq i$  with  $1 \leq j \leq p^t$  and let  $T_{i,j}: \bar{V} \cong \bar{V}$  be the  $\mathbf{k}$ -linear map given by  $T_{i,j}(x_i) = x_j, T_{i,j}(x_j) = x_i$  and  $T_{i,j}(x_k) = x_k$  for  $k \neq i, j$ . Let  $D^{p^t}(T_{i,j}): D^{p^t}(\bar{V}) \cong D^{p^t}(\bar{V})$  be the induced isomorphism. Notice that

$$\{x_{i_1} \cdots x_{i_{p^t-1}} x_{i_{p^t}}\} = \{x_{i_{\tau(1)}} \cdots x_{i_{\tau(p^t-1)}} x_{i_{p^t}}\}$$

since  $\langle B^{\max}(V), L_2, \dots, L_{n-1} \rangle$  contains all commutators of length less than  $n$ . For any  $\tau \in S_{p^t-1}$ , one gets

$$D^{p^t}(T_{i,j})(y_k) = \begin{cases} y_j & \text{if } k = i; \\ y_i & \text{if } k = j; \\ y_k & \text{otherwise.} \end{cases}$$

Thus  $y_j = \sum_{l \neq i,j} k_l y_l + k_j y_i$  and so  $(1 + k_j)(y_i - y_j) = 0$ . Let  $\tilde{V}$  be the 2-dimensional  $\mathbf{k}$ -module generated by  $x$  and  $y$  and let  $\phi: \tilde{V} \rightarrow \tilde{V}$  be the  $\mathbf{k}$ -linear map given by  $\phi(x_i) = x$  and  $\phi(x_k) = y$  for  $k \neq i$ . Then

$$D^{p^t}(\phi)(y_i - y_j) = \{y^{p^t-1}x\} - \{xy^{p^t-1}\} = -\{ad^{p^t-1}(y)(x)\},$$

since we are in characteristic  $p$ . Consider the commutative diagram

$$\begin{array}{ccccc} & & D^{p^t}(\tilde{V}) & \xlongequal{\hspace{2cm}} & D^{p^t}(\tilde{V}) \\ & & \uparrow & & \uparrow \\ B^{\max}(\tilde{V}) & \hookrightarrow & T(\tilde{V}) & \xrightarrow{\quad r \quad} & \mathbf{k} \otimes_{B^{\max}(\tilde{V})} T(\tilde{V}) \\ & \parallel & \uparrow & & \uparrow \\ & & \cup & & \cup \\ B^{\max}(\tilde{V}) & \hookrightarrow & \langle B^{\max}, L_2, \dots, L_{p^t-1} \rangle & \twoheadrightarrow & \mathbf{k} \otimes_{B^{\max}(\tilde{V})} \langle B^{\max}(\tilde{V}), L_2, \dots, L_{p^t-1} \rangle. \end{array}$$

Notice that  $B^{\max}(\tilde{V})$  is a coalgebra retract of  $T(\tilde{V})$ . Thus  $B^{\max}(\tilde{V})$  is a coalgebra retract of  $\langle B^{\max}, L_2, \dots, L_{p^t-1} \rangle$  and so  $\mathbf{k} \otimes_{B^{\max}(\tilde{V})} \langle B^{\max}, L_2, \dots, L_{p^t-1} \rangle$  is a coalgebra retract of  $\langle B^{\max}, L_2, \dots, L_{p^t-1} \rangle$ . Observe that  $B_1^{\max}(V) = 0$ , thus the composite

$$\langle L_2, \dots, L_{p^t-1} \rangle \hookrightarrow \langle B^{\max}(\tilde{V}), L_2, \dots, L_{p^t-1} \rangle \twoheadrightarrow \mathbf{k} \otimes_{B^{\max}(\tilde{V})} \langle B^{\max}, L_2, \dots, L_{p^t-1} \rangle$$

is onto up to dimension  $p^t$ . Notice that  $r(ad^{p^t-1}(y)(x))$  is not zero by Lemma 8.19 and is not in the image of the composite  $\langle L_2, \dots, L_{p^t-1} \rangle \rightarrow T(\tilde{V}) \rightarrow \mathbf{k} \otimes_{B^{\max}(\tilde{V})} T(\tilde{V})$ .

Thus the primitive element  $r(ad^{p^t-1}(y)(x))$  is not in the subcoalgebra  $\mathbf{k} \otimes_{B^{\max}(\tilde{V})} \langle B^{\max}(\tilde{V}), L_2, \dots, L_{p^t-1} \rangle$  and so

$$\{ad^{p^t-1}(y)(x)\} \neq 0$$

in  $D^{p^t}(\tilde{V})$ . Therefore  $y_i - y_j \neq 0$  and so

$$k_j = -1$$

for each  $j \neq i$ . This shows that

$$y_1 + y_2 + \cdots + y_{p^t} = 0.$$

Notice that

$$D^{p^t}(\phi)(y_1 + \cdots + y_{p^t}) = \{y^{p^t-1}x\} + (p^t - 1)\{xy^{p^t-1}\} = -\{ad^{p^t-1}(y)(x)\} \neq 0.$$

One has a contradiction and the assertion follows.  $\square$

Let  $q: T(V) \rightarrow D^{p^t}(V)$  be the quotient map and let  $\gamma(D_{p^t}^{p^t})$  denote the image

$$\gamma(D_{p^t}^{p^t}) = \text{Im}(q|_{\gamma_{p^t}}: \gamma_{p^t} \rightarrow D_{p^t}^{p^t}(\bar{V})).$$

Notice that  $\gamma(D_{p^t}^{p^t})$  is a quotient  $\mathbf{k}(S_{p^t})$ -module of  $\gamma_{p^t} \cong \mathbf{k}(S_{p^t})$ . By Lemma 8.20, a representation of the  $\mathbf{k}(S_n)$ -module  $\gamma(D_{p^t}^{p^t})$  is as follows.

**Corollary 8.21.** *There is an isomorphism of  $\mathbf{k}(S_n)$ -modules*

$$\gamma(D_{p^t}^{p^t}) \cong \mathbf{k}^{\oplus p^t},$$

where the  $\mathbf{k}(S_{p^t})$ -action on  $\mathbf{k}^{\oplus p^t}$  is the standard representation.

**Proposition 8.22.** *Given  $t \geq 1$  and let  $\bar{V}$  be the  $p^t$ -dimensional  $\mathbf{k}$ -module generated by  $\{x_1, \dots, x_{p^t}\}$ . Then the elements*

$$z_j = \{[[x_1, x_j, x_2, \dots, \hat{x}_j, \dots, x_{p^t}]]\}$$

with  $2 \leq j \leq p^t$  are linearly independent in  $D^{p^t}(\bar{V})$ .

*Proof.* The assertion follows from the fact

$$z_j = y_j - y_1$$

in  $D^{p^t}(\bar{V})$ .  $\square$

Let  $\text{Lie}(D_{p^t}^{p^t})$  denote the image

$$\text{Lie}(D_{p^t}^{p^t}) = \text{Im}(q|_{\text{Lie}(p^t)}: \text{Lie}(p^t) \rightarrow D_{p^t}^{p^t}(\bar{V})).$$

**Corollary 8.23.** *Let  $a_1, \dots, a_{p^t}$  be the standard basis for  $\mathbf{k}^{\oplus p^t}$ . Then  $\text{Lie}(D_{p^t}^{p^t})$  is isomorphic to the  $\mathbf{k}$ -submodule of  $\mathbf{k}^{\oplus p^t}$  spanned by  $a_2 - a_1, a_3 - a_1, \dots, a_{p^t} - a_1$  as  $\mathbf{k}(S_{p^t})$ -modules.*

**Proposition 8.24.** *The  $\mathbf{k}$ -module  $D_j^n(V)$  for  $j \leq n$  is determined as follows:*

$$D_j^n(V) \cong \begin{cases} \mathbf{k}[V]_j & \text{if } j < n \\ \mathbf{k}[V]_n & \text{if } j = n \neq p^t \text{ for some } t \\ \mathbf{k}[V]_{p^t-1} \otimes V & \text{if } j = n = p^t \end{cases}$$

*Proof.* Notice that  $B^{\max}(V)$  is generated by certain choices of  $L_k^{\max}(V) \subseteq L_k(V)$  for  $k \geq 2$ . Thus  $\langle B^{\max}(V), L_2, \dots, L_{n-1} \rangle$  is equal to  $\langle L_2, \dots, L_{n-1}, L_n^{\max} \rangle$  up to dimension  $n$  and so the only case we need to show is that

$$D_{p^t}^{p^t}(V) \cong \mathbf{k}[V]_{p^t-1} \otimes V.$$

Notice that the quotient map  $T(V) \rightarrow D^{p^t}(V)$  induces a natural epimorphism

$$\theta: \mathbf{k}[V]_{p^t-1} \otimes V \rightarrow D_{p^t}^{p^t}(V).$$

By Lemma 8.20, it is routine to check that the natural map  $\theta$  is a monomorphism. The assertion follows.  $\square$

**Theorem 8.25.**  *$E^{(2)}(V)$  is functorially isomorphic to the polynomial algebra generated by*

$$\bigoplus_{t=1}^{\infty} I(\mathbf{k}^{\oplus p^t}) \otimes_{\mathbf{k}(S_{p^t})} V^{\otimes p^t}.$$

*Proof.* Let  $t \geq 1$ . Notice that  $\langle B^{\max}(V), L_2, \dots, L_{p^t-1} \rangle \subseteq T^{(2)}(V)$ . Let  $D'(V) = \mathbf{k} \otimes_{\langle B^{\max}(V), L_2, \dots, L_{p^t-1} \rangle} T^{(2)}(V)$ . Consider the commutative diagram

$$\begin{array}{ccccc} \langle B^{\max}(V), L_2, \dots, L_{p^t-1} \rangle & \hookrightarrow & T^{(2)}(V) & \twoheadrightarrow & D'(V) \\ & & \downarrow & & \downarrow \\ \langle B^{\max}(V), L_2, \dots, L_{p^t-1} \rangle & \hookrightarrow & T(V) & \twoheadrightarrow & D^{p^t}(V) \\ & & \downarrow & & \downarrow \\ & & \mathbf{k}[V] & \xlongequal{\quad} & \mathbf{k}[V]. \end{array}$$

Notice that  $D'(V)$  is  $(p^t - 1)$ -connected. By Proposition 8.24, there is a functorial isomorphism

$$D'(V)_{p^t} \cong \text{Ker}(D_{p^t}^{p^t}(V) \rightarrow \mathbf{k}[V]_{p^t}) \cong \text{Ker}(\mathbf{k}[V]_{p^t-1} \otimes V \rightarrow \mathbf{k}[V]_{p^t}).$$

By Proposition 8.16, the canonical map

$$Q(T^{(2)}(V))_{p^t} = (IT^{(2)}(V) \otimes_{T^{(2)}(V)} \mathbf{k})_{p^t} \rightarrow (I(D'(V)) \otimes_{T^{(2)}(V)} \mathbf{k})_{p^t} = D'(V)_{p^t}$$

is an isomorphism. Notice that the map  $T^{(2)}(V) \rightarrow D'(V)$  factors through  $A^{(2)}(V) = \mathbf{k} \otimes_{B^{\max}(V)} T^{(2)}(V)$ . Thus the canonical map  $Q(T^{(2)}(V))_{p^t} \rightarrow (I(A^{(2)}(V)) \otimes_{T^{(2)}(V)} \mathbf{k})_{p^t}$  is a monomorphism and so it is an isomorphism. By Corollary 8.14, the canonical map  $Q(T^{(2)}(V))_{p^t} \rightarrow Q(E^{(2)})_{p^t}$  is an isomorphism. By Corollary 8.4,  $Q(B^{\max}(V))_n \rightarrow Q(T^{(2)}(V))_n$  is onto if  $n$  is not a power of  $p$  and so the epimorphism  $Q(T^{(2)}(V))_n \rightarrow Q(E^{(2)}(V))_n$  is the trivial map if  $n$  is not a power of  $p$ . The assertion follows.  $\square$

By Proposition 8.12, we have a lower bound on the growth of  $A^{\min}(V)$ .

**Corollary 8.26.** *If  $\dim(V) \geq 2$ , then  $A^{\min}(V)$  has at least sub-exponential growth.*

**Corollary 8.27.** *There is a natural isomorphism*

$$A_p^{\min}(V) \cong \mathbf{k}[V]_{p-1} \otimes V.$$

**Example 8.28.** Let  $V$  be a two dimensional  $\mathbf{k}$ -module over the Steenrod algebra generated by  $\{x, y\}$  with  $P_*^1(y) = x$ . Then, as a retract of  $V^{\otimes p}$ ,  $A_p^{\min}(V)$  is a  $2p$ -dimensional  $\mathbf{k}$ -module over Steenrod algebra with a basis:

$$\{y^p, P_*^1(y^p), \dots, P_*^p(y^p), z, P_*^1(z), \dots, P_*^{p-2}(z)\},$$

where  $P_*^{p-1}(z) = P_*^p(y^p) = x^p$ .

To conclude this section, as an example, we show that  $T^{(2)}(V)$ , which is the coalgebra kernel of the quotient map from tensor algebras to polynomial algebras, is not **functorially** isomorphic to the tensor algebra generated by  $Q(T^{(2)}(V))$  if  $\text{char}(\mathbf{k}) > 0$ .

**Proposition 8.29.** *The canonical quotient map  $I(T^{(2)}(V)) \rightarrow Q(T^{(2)}(V))$  does NOT have a functorial  $\mathbf{k}$ -linear cross-section map.*

*Proof.* We show that  $q: I(T^{(2)}(V))_n \rightarrow Q(T^{(2)}(V))_n$  does not have a functorial cross-section if  $n \geq p + 2$ . Suppose that there were such a cross-section. Let  $s: Q(T^{(2)}(V))_n \rightarrow I(T^{(2)}(V))_n$  be a functorial  $\mathbf{k}$ -linear map such that  $q \circ s: Q(T^{(2)}(V))_n \rightarrow Q(T^{(2)}(V))_n$  is the identity.

Let  $\bar{V} = \langle x_1, x_2, \dots, x_n \rangle$  be an  $n$ -dimensional vector space over  $\mathbf{k}$  and let  $\gamma_n$  be as defined earlier. Let  $M_n \cong \mathbf{k}^{\oplus n}$  be as defined in the proof of Proposition 8.16. Then there is a commutative diagram of short exact sequences of  $\mathbf{k}(S_n)$ -modules

$$\begin{array}{ccccc} I(M_n) & \hookrightarrow & M_n & \xrightarrow{\epsilon} & \mathbf{k} \\ \uparrow p' & & \uparrow p'' & & \parallel \\ I(\gamma_n) & \hookrightarrow & \gamma_n & \xrightarrow{\epsilon} & \mathbf{k}, \end{array}$$

where  $\epsilon(x_{\sigma(1)} \cdots x_{\sigma(n)}) = 1$  for  $\sigma \in S_n$ .

Notice that  $Q(T^{(2)}(V))_n$  is isomorphic functorially to  $I(M_n) \otimes_{\mathbf{k}(S_n)} V^{\otimes n}$  by Proposition 8.16. Let  $\phi: I(M_n) \rightarrow \bar{V}^{\otimes n}$  be the composite

$$I(M_n) = I(M_n) \otimes_{\mathbf{k}(S_n)} \gamma_n \subseteq I(M_n) \otimes_{\mathbf{k}(S_n)} \bar{V}^{\otimes n} \cong Q(T^{(2)}(\bar{V}))_n \xrightarrow{s} I(T^{(2)}(\bar{V}))_n \subseteq \bar{V}^{\otimes n}.$$

Then  $\phi(I(M_n)) \subseteq I(T^{(2)}(\bar{V})) \cap \gamma_n \subseteq I(\gamma_n) \subseteq \gamma_n$  and the map  $\phi: I(M_n) \rightarrow \gamma_n$  is a map of  $\mathbf{k}(S_n)$ -modules. Notice that  $\gamma_n$  is a free  $\mathbf{k}(S_n)$ -module. Therefore  $\gamma_n$  is an injective  $\mathbf{k}(S_n)$ -module (see [13]) and so there is a map of  $\mathbf{k}(S_n)$ -modules  $\tilde{\phi}: M_n \rightarrow \gamma_n$  such that  $\tilde{\phi}|_{I(M_n)} = \phi: I(M_n) \rightarrow \gamma_n$ .

Let  $f: \gamma_n \rightarrow \gamma_n$  be the composite

$$\gamma_n \xrightarrow{p''} M_n \xrightarrow{\tilde{\phi}} \gamma_n$$

and let  $N = \text{colim}_f \gamma_n$ . Then  $N$  is a  $\mathbf{k}(S_n)$ -retract of  $\gamma_n$  and so  $N$  is a projective  $\mathbf{k}(S_n)$ -module. Recall that the composite  $q \circ s: Q(T^{(2)}(V))_n \rightarrow Q(T^{(2)}(V))_n$  is the identity. It follows that the composite

$$I(M_n) \xrightarrow{\phi} I(T^{(2)}(\bar{V})) \cap \gamma_n \hookrightarrow I(\gamma_n) \xrightarrow{p'} I(M_n)$$

is the identity map and so

$$\dim(N) \geq \dim(I(M_n)) = n - 1.$$

On the other hand,  $N$  is a  $\mathbf{k}(S_n)$ -retract of  $M_n$ . Thus

$$\dim(N) \leq \dim(M_n) = n.$$

Now  $N$  is a projective  $\mathbf{k}(S_n)$ -module. Let  $P$  be the Sylow  $p$ -subgroup of  $S_n$ . Since projective modules over  $\mathbf{k}(G)$  are free when  $G$  is a  $p$ -group,  $N$  is a free  $\mathbf{k}(P)$ -module and so  $\dim(N)$  is divisible by the order of  $P$ . One gets a contradiction and the assertion follows.  $\square$